

# **Gamow-Teller and Spin-Dipole Resonances and Experimental Methods for Spin Excitations**

---

Tomotsugu Wakasa

*Department of Physics, Kyushu University*

# Contents

---

## **Lecture 1 : Giant resonances and sum rule**

- What is a giant resonance?
- Sum rule
- Fermi and Gamow-Teller modes
- GT resonance and missing GT strength problem

## **Lecture 2 : Polarizations and spin observables**

- What is polarization?
- Spin observables with polarized protons
- Spin measurements
- Spin observables for Fermi and GT modes

## **Lecture 3 : Solution of missing GT strength problem and spin-dipole resonance**

- Neutron measurement
- Proportionality between cross section and  $B(\text{GT})$
- Multipole decomposition analysis and experimental solution of the problem
- Spin-dipole resonance



# Giant resonances and sum rule

---

- ❖ Giant resonances (GRs)
- ❖ Sum rule and TRK sum rule
- ❖ Residual interaction effects on GRs and Landau-Migdal interaction
- ❖ Delay/quenching of beta decays
- ❖ GT sum rule
- ❖ IAS and GTR
- ❖ Missing GT strength problem
- ❖ Homework

# What is a Resonance?

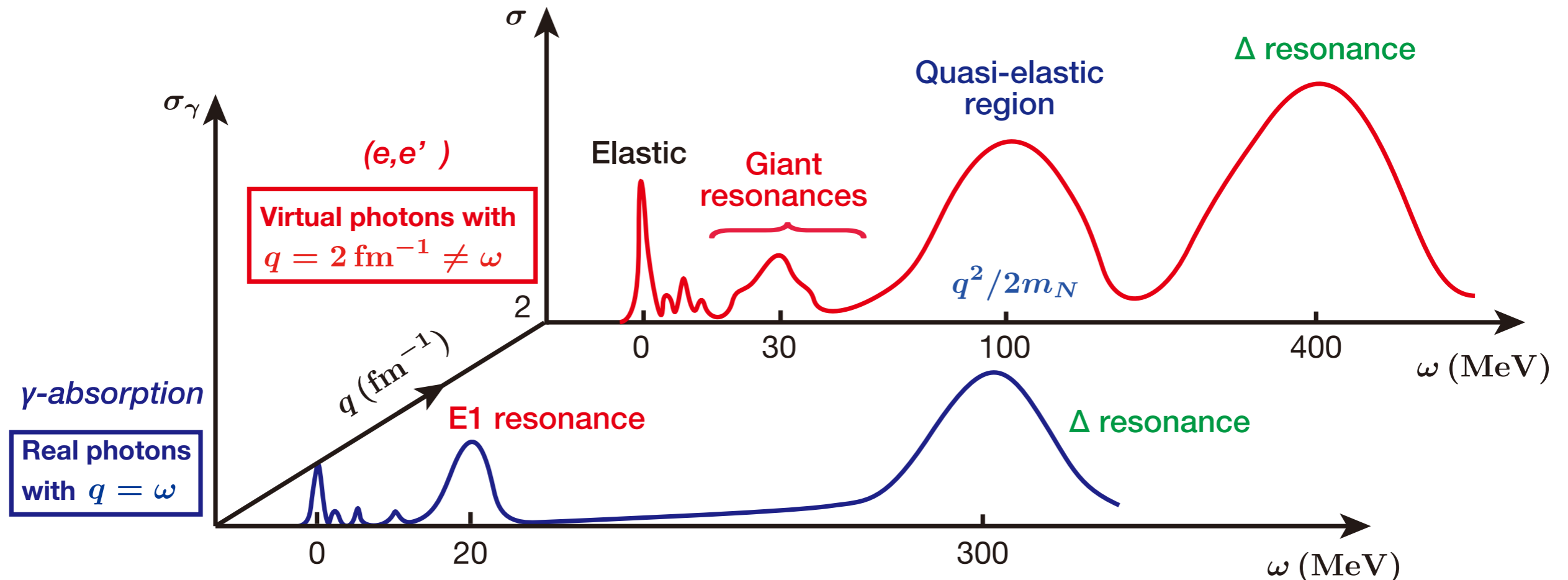
*M.N.Harakeh and A. van der Wunde, "Giant Resonances".*

A powerful method to study the properties of a system

*= Measure its response to the external perturbation/impact*

Nuclear responses to real/virtual photons

- $\omega \lesssim 10 \text{ MeV}$  : Excitations including one or a few particles
- $\omega \simeq 10 - 30 \text{ MeV}$  : Broad resonances involving many particles
- $\omega \simeq 100 \text{ MeV}$  : Quasi-elastic scattering (scattering with a target nucleon)  
for (e,e') and (p,n)
- $\omega \gtrsim 300 \text{ MeV}$  :  $\Delta$  resonance due to nucleon excitation



# What is a Resonance

**Resonance = Fundamental modes of nuclear vibration ( in macroscopic view).**

- shape oscillation (compression, dipole, ...)
- **spin oscillation** (out-of-phase oscillation **between  $\uparrow$  and  $\downarrow$** )
- **isospin oscillation** (out-of-phase oscillation **between  $p$  and  $n$** )

**Resonances can be classified by:**

multipolarity  $L$

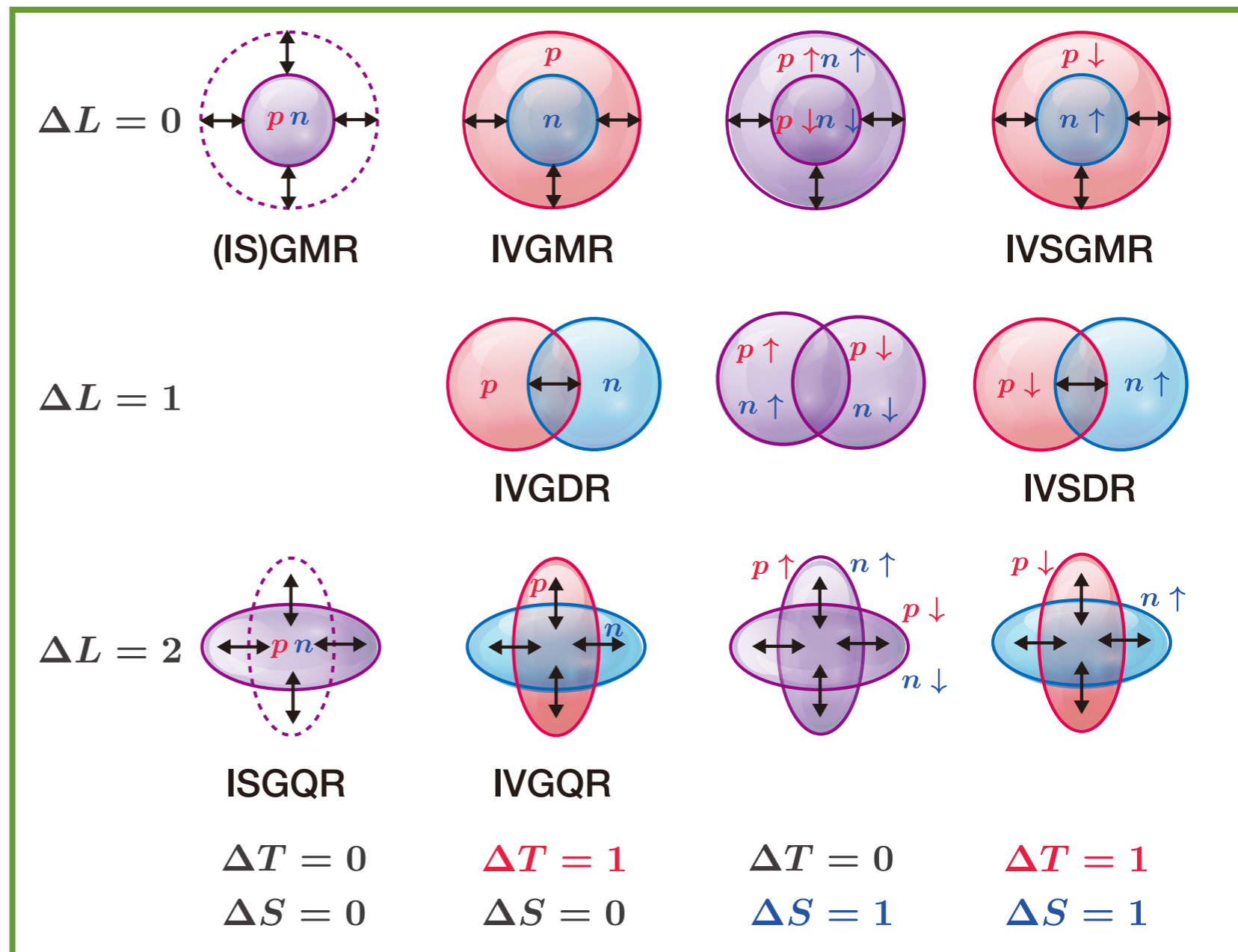
- $\Delta L=0$  : monopole
- $\Delta L=1$  : dipole
- $\Delta L=2$  : quadrupole

spin  $S$

- $\Delta S=0$  : spin-scalar (in-phase osc. b/w  $\uparrow$  and  $\downarrow$ )
- **$\Delta S=1$  : spin-vector** (out-of-phase osc. b/w  $\uparrow$  and  $\downarrow$ )

isospin  $T$

- $\Delta T=0$  : iso-scalar (IS) (in-phase osc. b/w  $p$  and  $n$ )
- **$\Delta T=1$  : iso-vector (IV)** (out-of-phase osc. b/w  $p$  and  $n$ )



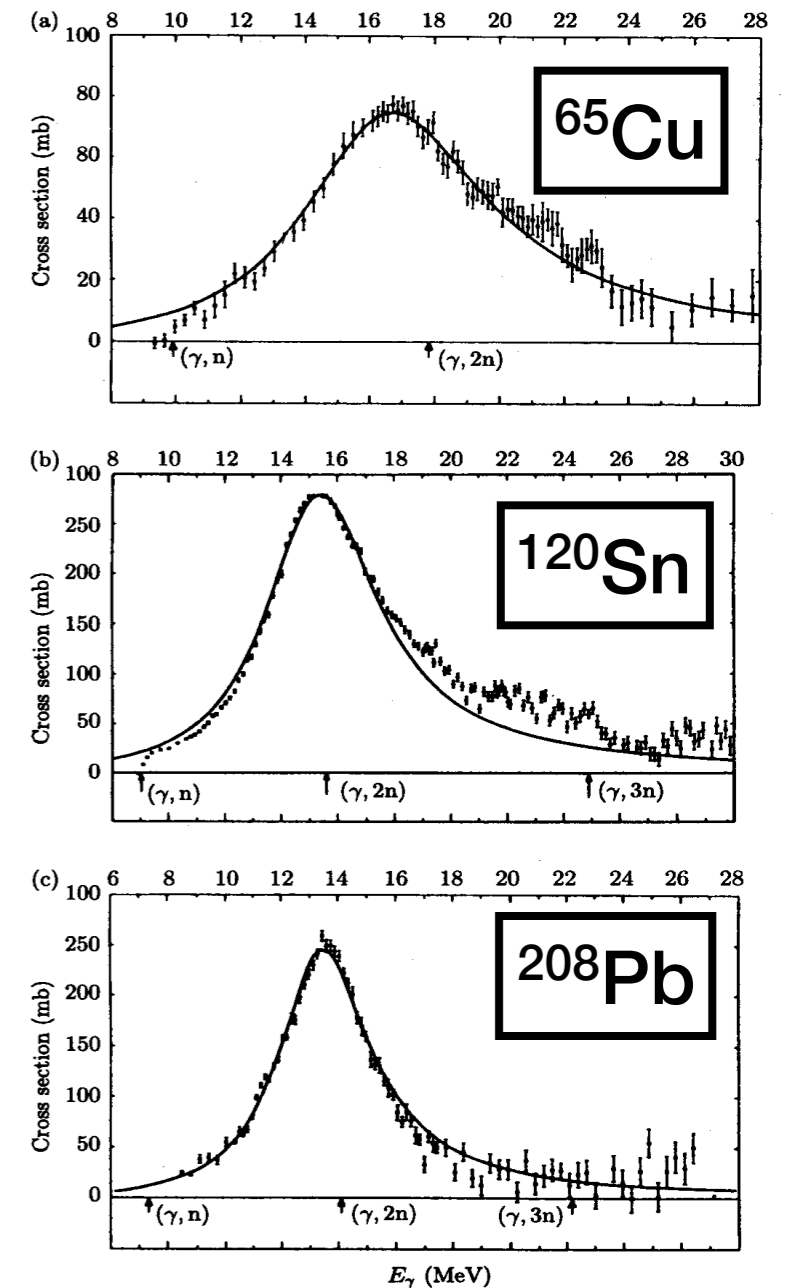
# Graphical images of Resonances

Electric giant resonance (spin transfer  $\Delta S=0$ )

	Isoscalar $\Delta T = 0$	Isovector $\Delta T = 1$
Monopole (GMR) $\Delta L = 0$		
Dipole (GDR) $\Delta L = 1$		
Quadrupole (GQR) $\Delta L = 2$		

Animations: taken from presentation by T. Aumann @ INPC2007

## Photo-neutron c.s.



**In-Phase/Out-phase** changes of spatial w.f of neutrons and protons for **isoscalar/isovector** GR

# Quantum mechanical description of Resonances

Resonance = collective motion involving many if not all the particles in the nucleus

## In quantum mechanics:

- The resonance is a transition between *the ground state* and *the collective state*
- Its strength is described by a transition amplitude

The transition strength depends on the basic properties of the system:

- *The number of particles* participating in the transition
  - *The size of the system*
- } *of the ground state*

The total transition strength should be limited by *a sum rule*:

- The sum rule depends *only on ground-state properties*

## The “Giant” resonance and the sum rule:

- A giant resonance (GT) = resonance exhausting a major part of the sum rule
  - Typically more than 50%

*What is the specific form of the sum rule (E1, GT, etc.)?*

# Sum rule

---



# Sum-rule in quantum mechanics

Assume that the Hamiltonian  $\hat{H}$  has a complete set of:

- eigenfunctions  $|n\rangle$  with
- eigenvalues  $E_n$

For the Hermitian operator  $\hat{A}$ , we define the operator (commutator)  $\hat{C}$ :

$$\hat{C} \equiv [\hat{H}, \hat{A}] = \hat{H}\hat{A} - \hat{A}\hat{H}$$

- The operator  $\hat{C}$  is anti-Hermitian:

$$\hat{C}^\dagger = (\hat{H}\hat{A})^\dagger - (\hat{A}\hat{H})^\dagger = \hat{A}\hat{H} - \hat{H}\hat{A} = -\hat{C}$$

For  $\hat{C}$ , we find:

$$\begin{aligned}\langle n|\hat{C}|m\rangle &= \langle n|(\hat{H}\hat{A} - \hat{A}\hat{H})|m\rangle \\ &= \langle n|(E_n\hat{A} - \hat{A}E_m)|m\rangle \quad (\because \hat{H}|m\rangle = E_m|m\rangle, \hat{H}|n\rangle = E_n|n\rangle) \\ &= (E_n - E_m)\langle n|\hat{A}|m\rangle\end{aligned}$$

and also:

$$|\langle n|\hat{A}|m\rangle|^2 = \langle n|\hat{A}|m\rangle^* \langle n|\hat{A}|m\rangle = \langle m|\hat{A}|n\rangle \langle n|\hat{A}|m\rangle \quad (\because \hat{A}^\dagger = \hat{A})$$

# Sum-rule in quantum mechanics

$$\left. \begin{aligned} \langle n | \hat{C} | m \rangle &= (E_n - E_m) \langle n | \hat{A} | m \rangle \\ |\langle n | \hat{A} | m \rangle|^2 &= \langle m | \hat{A} | n \rangle \langle n | \hat{A} | m \rangle \end{aligned} \right\} \dots (\star)$$

Using these relations, we can derive:

$$\begin{aligned} &\langle m | [\hat{A}, \hat{C}] | m \rangle \\ &= \langle m | \hat{A} \hat{C} | m \rangle - \langle m | \hat{C} \hat{A} | m \rangle \\ &= \sum_n \left[ \langle m | \hat{A} | n \rangle \langle n | \hat{C} | m \rangle - \langle m | \hat{C} | n \rangle \langle n | \hat{A} | m \rangle \right] \quad (\because \sum_n |n\rangle \langle n| = \mathbf{1}) \\ &= \sum_n \left[ \langle m | \hat{A} | n \rangle \langle n | \hat{A} | m \rangle (E_n - E_m) - (E_m - E_n) \langle m | \hat{A} | n \rangle \langle n | \hat{A} | m \rangle \right] \\ &= 2 \sum_n (E_n - E_m) |\langle n | \hat{A} | m \rangle|^2 \quad (\because \star) \end{aligned}$$

Since  $\hat{C} \equiv [\hat{H}, \hat{A}]$ , we find:

$$\langle m | [\hat{A}, [\hat{H}, \hat{A}]] | m \rangle = 2 \sum_n (E_n - E_m) |\langle n | \hat{A} | m \rangle|^2$$

# Sum-rule in quantum mechanics

$$\langle m | [\hat{A}, [\hat{H}, \hat{A}]] | m \rangle = 2 \sum_n (E_n - E_m) |\langle n | \hat{A} | m \rangle|^2$$

This relation can be also described as:

$$\underline{\langle m | [\hat{A}, [\hat{H}, \hat{A}]] | m \rangle = 2 \langle m | \hat{A} (\hat{H} - E_m) \hat{A} | m \rangle}$$

since

$$\hat{H} | n \rangle = E_n | n \rangle$$

and the completeness relation:

$$\sum_n | n \rangle \langle n | = \mathbf{1}$$

# GDR (TRK) sum rule

The Thomas-Reich-Kuhn (TRK) sum rule shows:

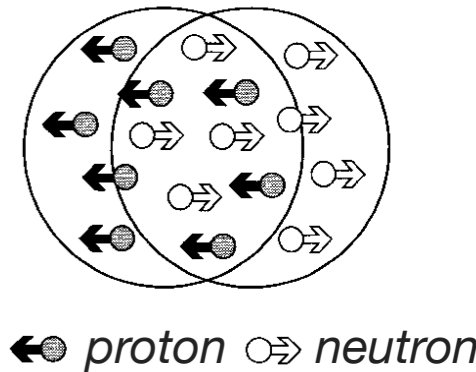
For the E1 operator:

$$\hat{O}(\mathbf{E1}) = -\sqrt{\frac{3}{4\pi}} \sum_{i=1}^A t_3(i) z_i$$

*Nuclear physics convention*

$$\begin{cases} t_3(i) = +\frac{1}{2} \text{ (neutron)} \\ t_3(i) = -\frac{1}{2} \text{ (proton)} \end{cases}$$

photons



The energy-weighted sum of the response function (transition strength):

$$R_{\mathbf{E1}}(\omega) = \sum_n |\langle n | \hat{O}(\mathbf{E1}) | 0 \rangle|^2 \delta(\omega - (E_n - E_0))$$

should be equal to the sum rule value

$$S_1(\mathbf{E1}) \equiv \int_0^\infty R_{\mathbf{E1}}(\omega) \omega d\omega = \frac{1}{2} \langle 0 | [\hat{O}(\mathbf{E1}), [\hat{H}, \hat{O}(\mathbf{E1})]] | 0 \rangle = \frac{3A\hbar^2}{32\pi M}$$

*irrespective to the potential V (interaction)*

- TRK sum rule = model-independent sum rule

*Exercise:* Derive the TRK sum rule referring Appendix B of this lecture.

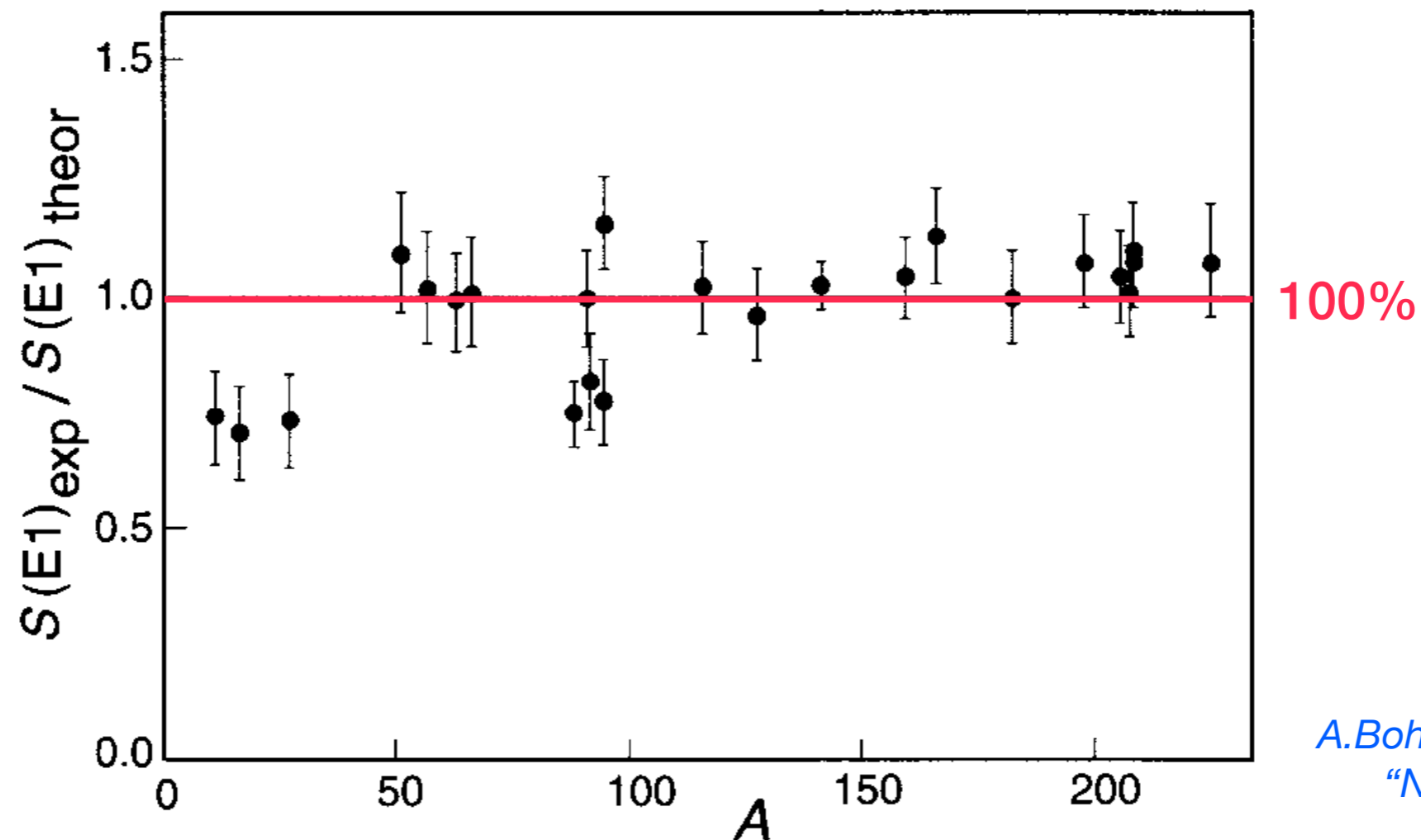
## Giant resonance

- A resonance which exhausts a major part of the sum-rule strength (> 50%).  
→ Observed GDR's exhaust their sum-rule strengths?

# Experimental evaluation of TRK sum rule

Ratios of the experimental  $S_1(E1)$  up to 30 MeV (=GDR) and the TRK sum rule:

- The GDR strength corresponds to  $\sim 100\%$  of the TRK sum rule.
- The GDR is a collective nuclear vibration in which all the protons move collectively against all the neutrons.



In general, there is the appropriate sum rule depending on  $\Delta L$ ,  $\Delta S$ , and  $\Delta T$ .

- ♣ If the total strength in a limited energy region ( $< E$ ) does not satisfy the sum rule.  
→ Further strengths exist beyond  $E$ .

# What can we learn from GRs?

For each mode specified by  $\Delta L$ ,  $\Delta S$ , and  $\Delta T$ , the relevant sum-rule exists:

- e.g., E1 (TRK) sum rule for  $\Delta L=1$  (dipole),  $\Delta T=1$  (isovector), and  $\Delta S=0$
- A giant resonance (GT) = resonance exhausting a major part of the sum rule
  - Typically more than 50%

If the total strengths including the GR do not exhaust the sum rule:

- Missing strengths should exist beyond the GR excitation energy
- Some basic assumptions for the sum-rule might be wrong
  - e.g., a nucleus consists of point-like protons and neutrons

What can we learn from the strength and position of GR

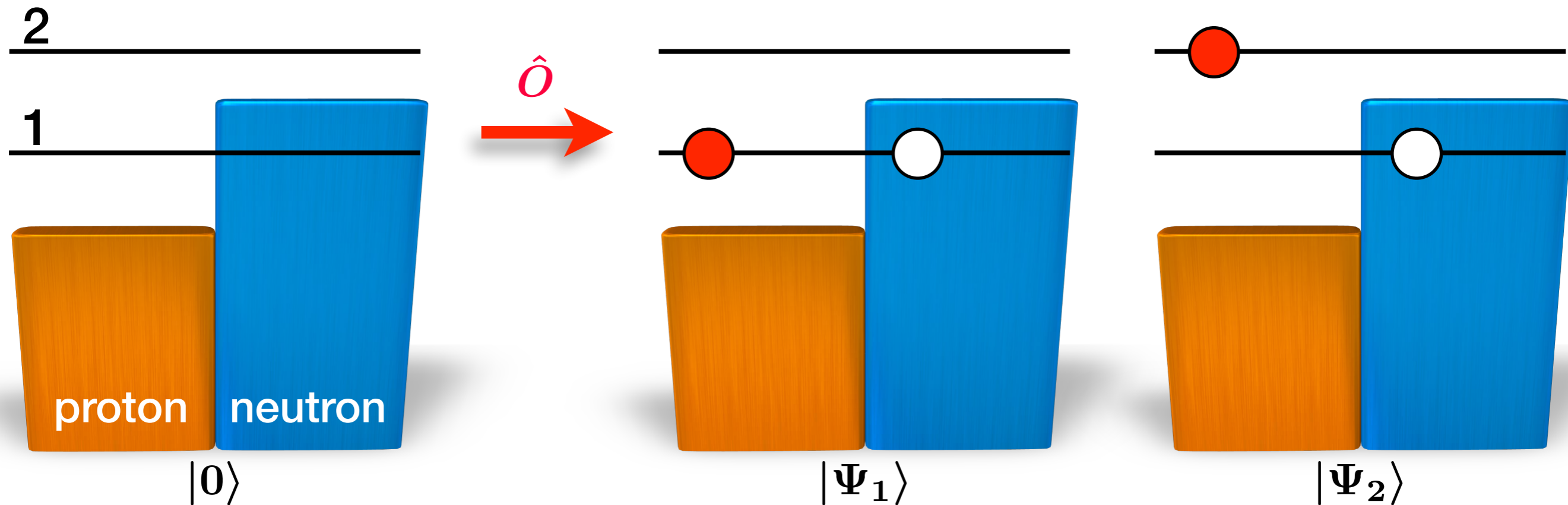
- peak position
  - fraction to the sum-rule
- } *Both depend on the residual interaction in nuclei*

*The residual interaction dependence can be understood easily*

# Simple 2-states model w/o residual int.

G.E.Brown, "Unified theory of nuclear models and forces"  
K.Yako, Private communication.

Excite two p-h states via  $\hat{O}$  (neutron  $\rightarrow$  proton)



Hamiltonian of daughter nucleus w/o residual interaction

$$H = H_0 \rightarrow \begin{cases} H_0 |\Psi_1\rangle = \varepsilon_1 |\Psi_1\rangle \\ H_0 |\Psi_2\rangle = \varepsilon_2 |\Psi_2\rangle \end{cases}$$

$|\Psi_1\rangle$  and  $|\Psi_2\rangle$  are orthogonal

Transition matrixes via  $\hat{O}$  operator

• to state 1 :  $\langle \Psi_1 | \hat{O} | 0 \rangle \equiv X_1$

• to state 2 :  $\langle \Psi_2 | \hat{O} | 0 \rangle \equiv X_2$

Transition strengths (probabilities) become  
 $|X_1|^2 = |X_2|^2$   
(forgetting about CG coefficients, neutron excess, etc.)

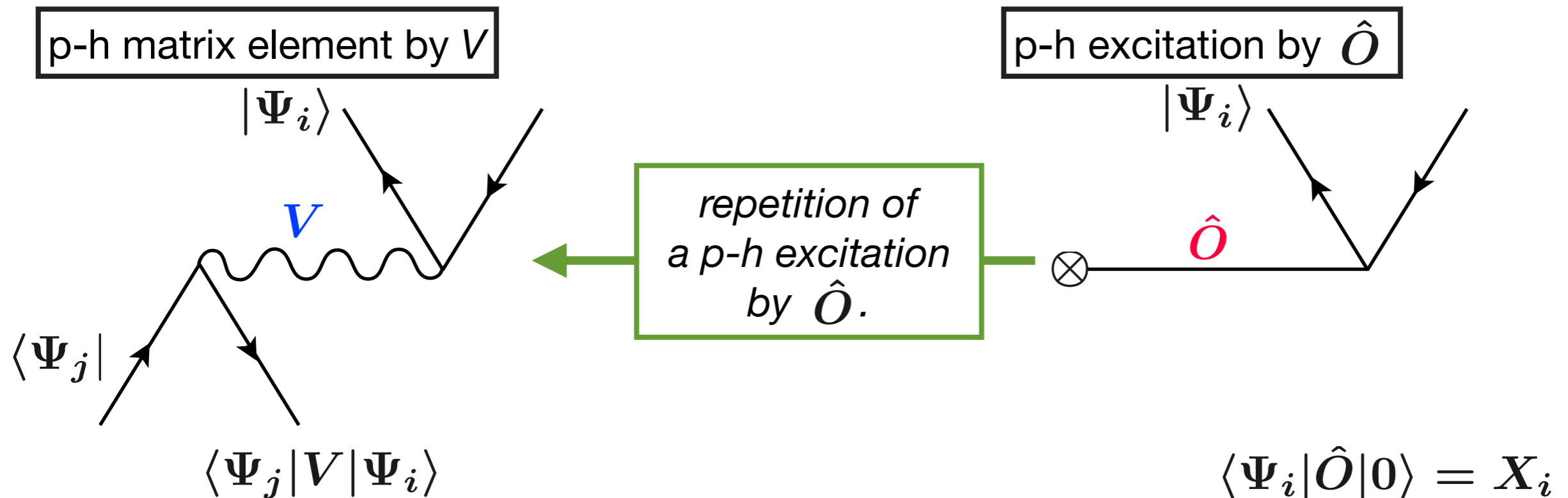
No GR w/o residual interaction ( $|\Psi_1\rangle$  and  $|\Psi_2\rangle$  are equally excited)

# Simple 2-states model with residual int.

## Add residual interaction: $V$

- Hamiltonian and Schrödinger eq.:  $H = H_0 + V \quad (H_0 + V)|\Psi\rangle = E|\Psi\rangle$
- Eigenstate:  $|\Psi\rangle = c_1|\Psi_1\rangle + c_2|\Psi_2\rangle \leftarrow$  **Mixing  $|\Psi_1\rangle$  and  $|\Psi_2\rangle$  with  $V$**

## Similarity between residual interaction and p-h excitation by $\hat{O}$



Since the p-h matrix element by  $V$  is a repetition of a p-h excitation by  $\hat{O}$ , the matrix element can be expressed as:

$$\langle\Psi_j|V|\Psi_i\rangle \simeq \lambda X_i X_j \quad (\lambda : \text{strength of the residual interaction})$$



# Simple 2-states model with residual int.

- Hamiltonian and Schrödinger eq.:  $H = H_0 + V \quad (H_0 + V)|\Psi\rangle = E|\Psi\rangle$
- Eigenstate:  $|\Psi\rangle = c_1|\Psi_1\rangle + c_2|\Psi_2\rangle$
- Matrix elements :  $\langle\Psi_j|V|\Psi_i\rangle \simeq \lambda X_i X_j$

The secular equation/problem becomes

$$\begin{pmatrix} \varepsilon_1 + \lambda X_1^2 & \lambda X_1 X_2 \\ \lambda X_1 X_2 & \varepsilon_2 + \lambda X_2^2 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = E \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$



$$\begin{pmatrix} \varepsilon_1 + \lambda X_1^2 - E & \lambda X_1 X_2 \\ \lambda X_1 X_2 & \varepsilon_2 + \lambda X_2^2 - E \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$M$

- $\det M = 0$  for  $(c_1, c_2) \neq (0, 0)$

$$\frac{1}{\lambda} = \frac{X_1^2}{E - \varepsilon_1} + \frac{X_2^2}{E - \varepsilon_2}$$

# Solution

## Assumption for simplicity

- $\varepsilon_1 = \varepsilon_2 \equiv \varepsilon_0$  (two states are degenerate)
- $\lambda > 0$  (repulsive)

$$\frac{1}{\lambda} = \frac{X_1^2}{E - \varepsilon_1} + \frac{X_2^2}{E - \varepsilon_2}$$

## Solution #1 (Low-lying state)

- $E = \varepsilon_0$  (not changed)
- $c_1 X_1 + c_2 X_2 = 0$

Transition matrix:  $\mathcal{D} \equiv \langle \Psi | \hat{O} | 0 \rangle = c_1 X_1 + c_2 X_2 = 0$

Transition probability:  $\mathcal{D}^2 = 0$  (zero probability)

## Solution #2 (High-lying collective state)

- $E = \varepsilon_0 + \lambda(X_1^2 + X_2^2)$  (shifted to higher energy by  $\lambda(X_1^2 + X_2^2)$ )
- $c_2 X_1 = c_1 X_2$

Transition matrix:  $\mathcal{D} \equiv \langle \Psi | \hat{O} | 0 \rangle = c_1 X_1 + c_2 X_2 = \sqrt{X_1^2 + X_2^2}$

Transition probability:  $\mathcal{D}^2 = X_1^2 + X_2^2$  (sum of all the transition probabilities)

Both  $|\Psi_1\rangle$  and  $|\Psi_2\rangle$  contribute constructively.  $\rightarrow$  "Coherent"

# Summary of simple model

## Inputs

- Structure: two “unperturbed” states
- Interaction: “repulsive” residual interaction  $\lambda$

## Outputs

### Low-lying state

- Similar excitation energy
- Almost zero strength

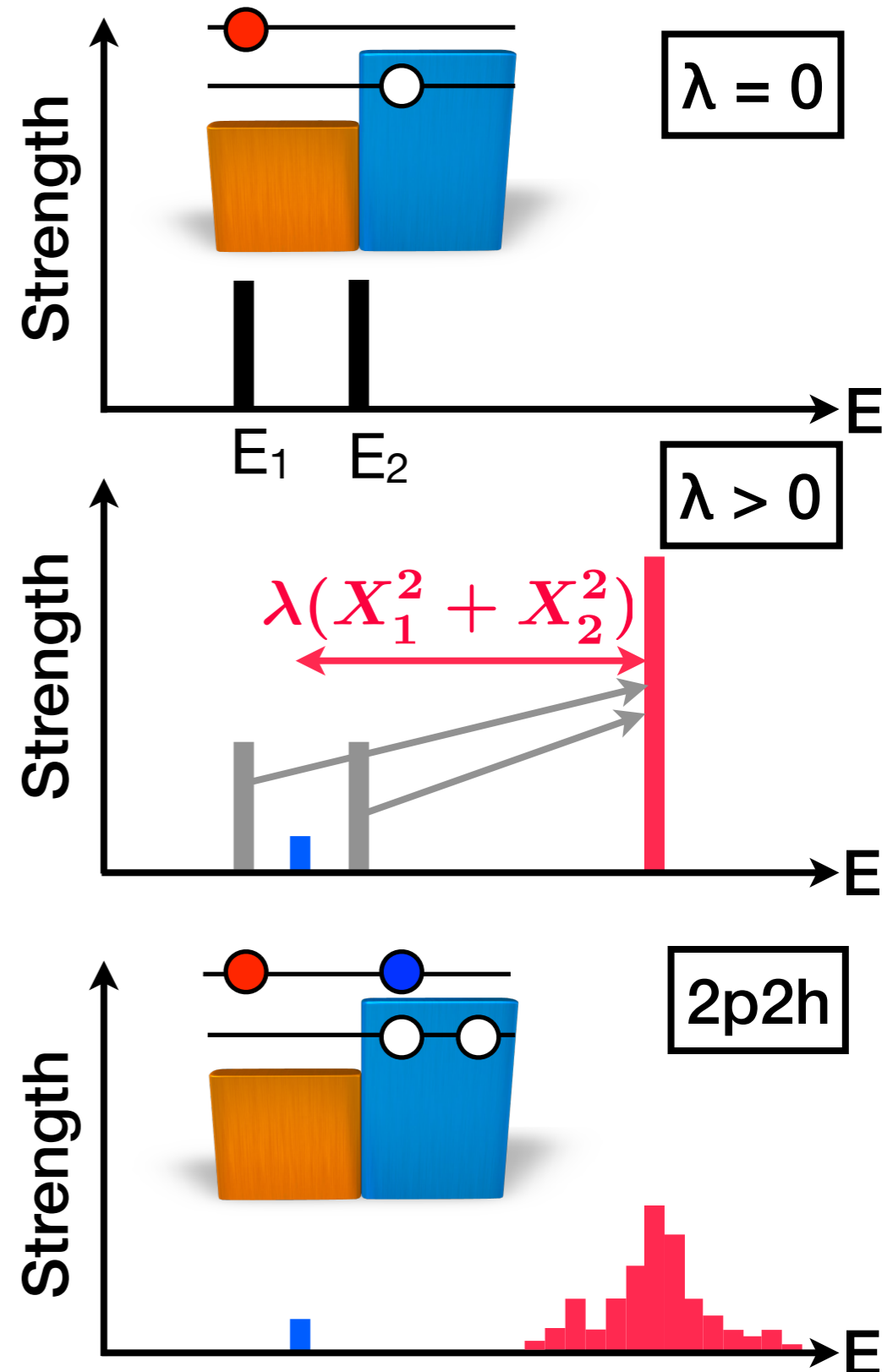
### High-lying state

- Higher excitation energy by  $\lambda(X_1^2 + X_2^2)$
- Almost all strength (collective state)
- Oscillating between  $|\Psi_1\rangle$  and  $|\Psi_2\rangle$

## Real width of GR

Coupling with more complicated states (2p2h)

- Fragmentation of strength



# The Landau-Migdal interaction

As an effective interaction  $V(\lambda)$ , the Landau-Migdal interaction  $V_{LM}$  is often used

$$V_{LM} = C_0 \left[ \underbrace{f_0}_{\substack{\Delta T = 0 \\ \Delta S = 0}} + \underbrace{f'_0(\tau_1 \cdot \tau_2)}_{\substack{\Delta T = 1 \\ \Delta S = 0}} + g_0(\sigma_1 \cdot \sigma_2) + \underbrace{g'_0(\sigma_1 \cdot \sigma_2)(\tau_1 \cdot \tau_2)}_{\substack{\Delta T = 1 \\ \Delta S = 1}} \right]$$

For isovector  $\Delta T=1$  excitations, the following two interactions contribute:

- spin-scalar ( $\Delta S=0$ ):  $V_{LM}^\tau = C_0 f'_0(\tau_1 \cdot \tau_2)$
- spin-vector ( $\Delta S=1$ ):  $V_{LM}^{\sigma\tau} = C_0 g'_0(\tau_1 \cdot \tau_2)(\sigma_1 \cdot \sigma_2)$

There are several choices for the strength  $C_0$ :

- pionic unit :  $C_0 = \frac{f_{\pi NN}^2}{m_\pi^2} \simeq 400 \text{ MeV fm}^3$
- Julich unit :  $C_0 = \frac{1}{2} \frac{2\pi^2}{m^* k_F} \simeq 300 \frac{m_N}{m^*} \text{ MeV fm}^3$
- Osterfeld, etc. :  $C_0 = \frac{1}{4} \frac{2\pi^2}{m^* k_F} \simeq 150 \frac{m_N}{m^*} \text{ MeV fm}^3$

$f_{\pi NN}$	: $\pi NN$ coupling const.
$m^*$	: effective nucleon mass
$k_F$	: Fermi momentum

In the following, we set  $f'_0 \equiv f'$  and  $g'_0 \equiv g'$  for simplicity.

# Landau-Migdal parameter $f'$ and GDR

## Theoretical calculations

- respq by Ichimura-san
- available from RIKEN Nishina HP

## Without residual interaction ( $f'=0$ )

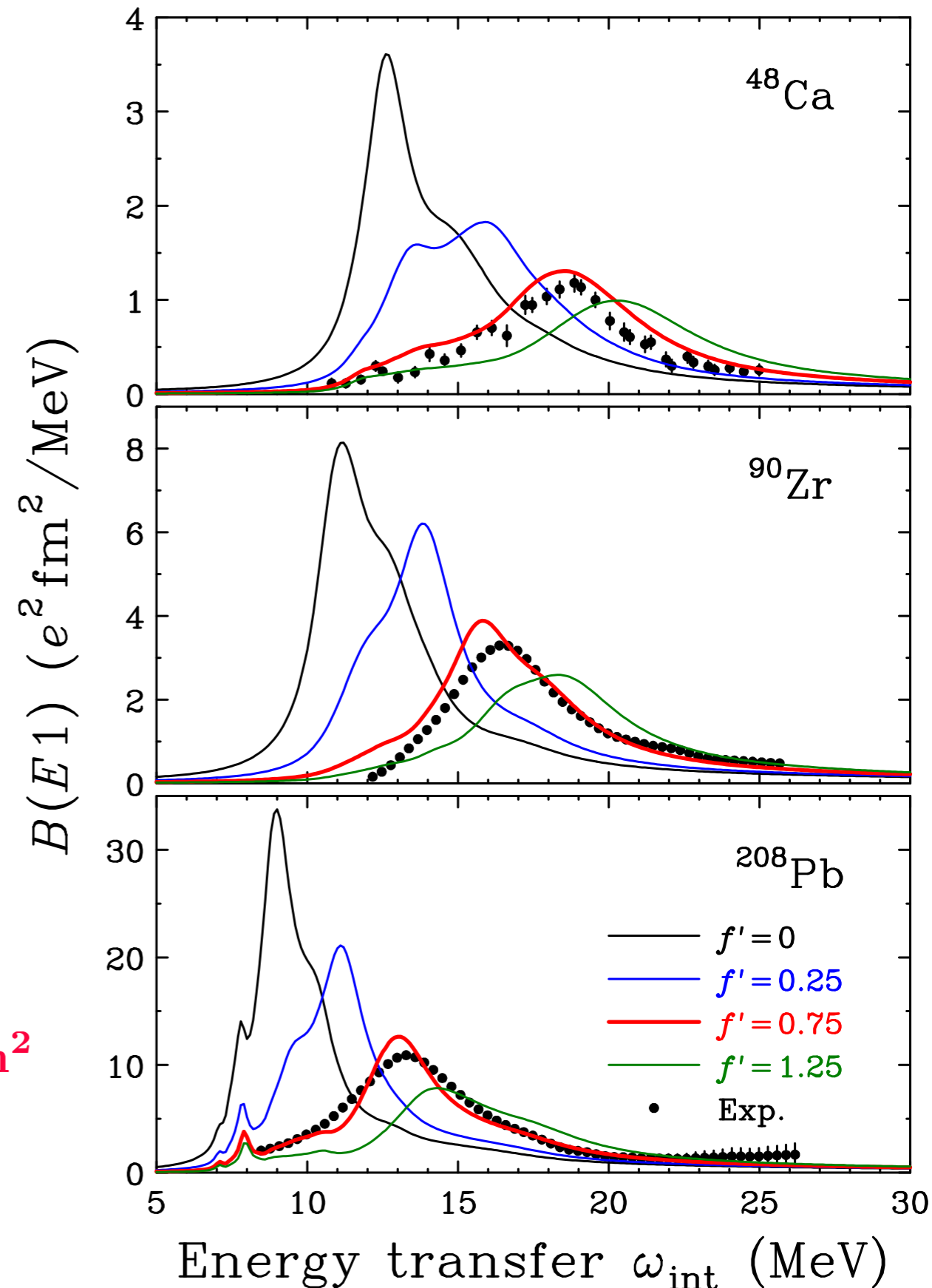
- Many  $\Delta J^\pi=1^-$  1p-1h states
- Significant widths
- Significantly lower than the exp. data

## With residual interaction ( $f'>0$ )

- Strengths concentrate to the high- $\omega$  state
- The peak also shifts to higher  $\omega$
- A relatively strong  $f'=0.75$  (repulsive) reproduces the exp. data reasonably well

$$f' = 0.75 \rightarrow V^\tau \simeq 300(\tau_1 \cdot \tau_2) \text{ MeV fm}^2$$

*GR distributions provide important information on the interaction*



# Fermi and Gamow-Teller transitions

The GDR is a isovector ( $\Delta T=1$ ) multipole ( $\Delta L=1$ ) mode:

- Dipole mode with  $\Delta L=1$  and  $\Delta S=0$  ( $\Delta J^\pi=1^-$ )
- Dipole oscillation in the nuclear shape (anti-phase oscillations between p and n)

Here we concentrate on the “simplest” isovector ( $\Delta T=1$ ) modes:

Simple = no change in the nuclear shape

- No-change in angular momentum ( $\Delta L=0$ )
- Experimentally, dominant at  $q=0$  for  $\Delta L=0$

Spin-vector mode with  $\Delta S=1$  ( $\Delta J^\pi = 1^+$ )

- *Gamow-Teller (GT) by (p,n), etc.*
- Magnetic dipole (M1) by (p,p')

Spin-scalar mode with  $\Delta S=0$  ( $\Delta J^\pi=0^+$ )

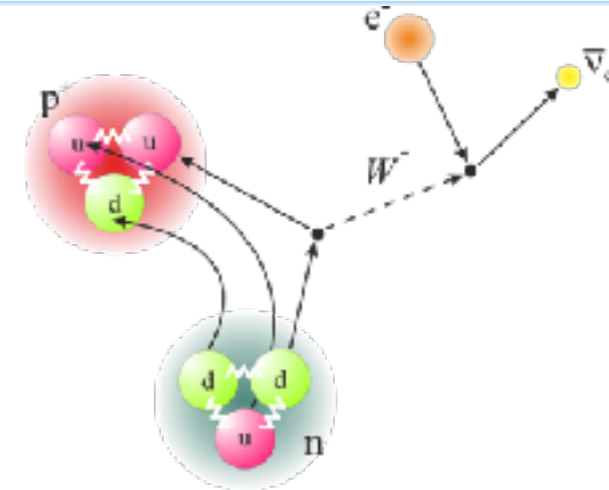
- *Fermi (F) by (p,n), etc.*

Both Fermi and Gamow-Teller transitions/modes are closely related to beta decays  
→ *Briefly overview the delay/quenching problem for beta decays*

# Beta decays

Beta decays and electron capture (EC) are symbolically written as:

- $\beta^-$  decay :  $n \rightarrow p + e^- + \bar{\nu}_e$
- $\beta^+$  decay :  $p \rightarrow n + e^+ + \nu_e$
- EC :  $e^- + p \rightarrow n + \nu_e$



The orbital angular momentum,  $L$ , carried away by the leptons is small ( $L \ll 1\hbar$ )

- $L = 0$  for allowed transitions

Since leptons,  $e$  and  $\nu_e$ , have spin  $\frac{1}{2}\hbar$ :

The total spin  $S$  of the leptons (= spin change b/w initial and final states) is 0 or  $1\hbar$ :

- $S=0$  ( $\Delta J^\pi=0^+$ )  $\rightarrow$  Fermi :  $\hat{O}(F^\pm) = g_V \sum_k t_{k,\pm}$
- $S=1$  ( $\Delta J^\pi=1^+$ )  $\rightarrow$  Gamow-Teller (GT) :  $\hat{O}(GT^\pm) = g_V \sum_k t_{k,\pm} \sigma_k$

- $\sigma$  : Pauli spin matrix of a nucleon

- $t_\pm = \frac{1}{2}(\tau_x \pm i\tau_y)$  : isospin ladder operator

- $g_V, g_A$  : vector and axial-vector weak coupling constants

# Definitions of Fermi and GT transition strengths

The Fermi and GT transition strengths,  $B(F^\pm)$  and  $B(GT^\pm)$ , are defined as:

$$\text{Fermi: } B(F^\pm) = \frac{1}{2J_i + 1} \left| \left\langle f \left\| \sum_k t_{k,\pm} \right\| i \right\rangle \right|^2$$

$$\text{GT: } B(GT^\pm) = \frac{1}{2J_i + 1} \left| \left\langle f \left\| \sum_k t_{k,\pm} \sigma_k \right\| i \right\rangle \right|^2$$

*Definition of reduced matrix elements*

$$\begin{aligned} \langle j' m' | T(k, q) | j m \rangle = & \\ & (-1)^{k-j+j'} \frac{\langle k q j m | j' m' \rangle}{\sqrt{2j'+1}} \\ & \times \langle j' || T(k) || j \rangle \end{aligned}$$

- $|i\rangle$  and  $|f\rangle$  : parent and daughter states
- $J_i$  : initial spin
- $\langle f || \hat{O} || i \rangle$  : denote reduced matrix elements with respect to the spin and coordinate space

## Exercise

Determine  $\left\langle \frac{1}{2} \left\| \sigma \right\| \frac{1}{2} \right\rangle$ . **Hints:**  $s = \frac{\hbar}{2} \sigma$  and  $\left\langle 10 \frac{1}{2} \frac{1}{2} \left\| \frac{1}{2} \frac{1}{2} \right\rangle = -\sqrt{\frac{1}{3}}$ .



# Beta decay strengths and rates

The connection between the beta-decay rates and the F and GT transition strengths,  $B(F)$  and  $B(GT)$ , is simple and given by

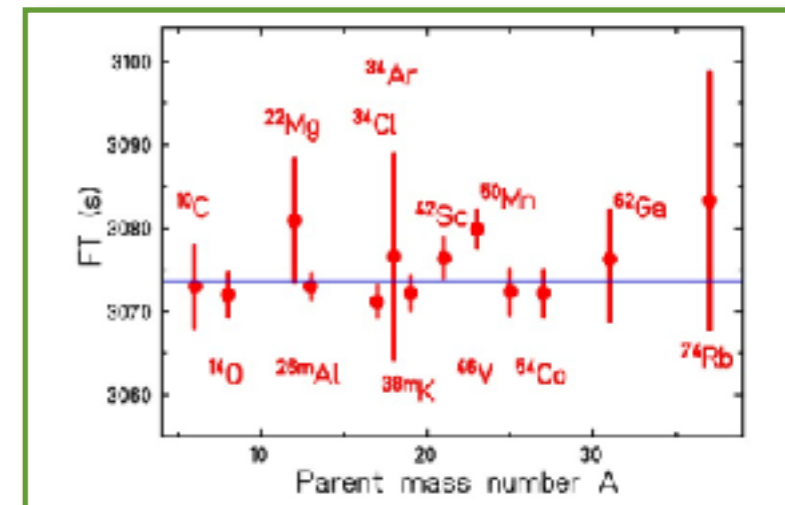
$$B(F) + \left(\frac{g_A}{g_V}\right)^2 B(GT) = \frac{K'}{ft}$$

- $B(F)$ ,  $B(GT)$  : Fermi and Gamow-Teller transition strengths
- $t$  : half life
- $f$  : phase space factor given by the total energy released
- $g_V$ ,  $g_A$  : vector and axial-vector coupling constants
- $K'$  : empirically determined constant

$K'$  is determined from pure Fermi transitions

$$B(F) = \frac{K'}{ft} \quad B(F) = N - Z$$

$$\bullet \quad ft = 3073.3 \pm 3.5 \rightarrow K' = 6147 \pm 7 \text{ s}$$



*J.C.Hardy et al., Nucl. Phys. A 509, 249 (1990).*

$g_A/g_V$  is determined from neutron beta decay with  $B(F)=1$  and  $B(GT)=3$

$$\bullet \quad t = 623.6 \pm 6.2 \text{ s} \rightarrow \left(\frac{g_A}{g_V}\right)^2 = (1.2605 \pm 0.0075)^2$$

*D.H.Wilkinson, Nucl. Phys. A 377, 474 (1982).*

# Fermi and Gamow-Teller sum rules

---

# Fermi and Gamow-Teller sum rules

Fermi/Gamow-Teller  $\beta^\pm$  operators exciting the Fermi/Gamow-Teller states are

$$F_\pm = \sum_k t_{\pm,k}$$

$$GT^\pm(\mu) = \sum_k t_{k,\pm} \sigma_{\mu,k}$$

Total  $F_\pm$  and  $GT_\pm$  strengths,  $S(F_\pm)$  and  $S(GT_\pm)$ , are given by

$$\begin{aligned} S(F_\pm) &= \sum_f |\langle f | F_\pm | i \rangle|^2 \\ &= \langle i | F_\pm^\dagger F_\pm | i \rangle \end{aligned}$$

$$\begin{aligned} S(GT_\pm) &= \sum_{f,\mu} |\langle f | GT_\pm(\mu) | i \rangle|^2 \\ &= \sum_\mu \langle i | GT_\pm^\dagger(\mu) GT_\pm(\mu) | i \rangle \end{aligned}$$

(completeness of  $\sum_\mu |f\rangle\langle f| = 1$ )

Separate sums are model dependent (shell-model, RPA, etc.).

But the difference is model independent  $\rightarrow$  **Only a function the neutron excess (N-Z).**

$$\begin{aligned} S(F_-) - S(F_+) &= \langle i | \sum_k [t_{+,k} t_{-,k} - t_{-,k} t_{+,k}] | i \rangle \\ &= (N - Z) \end{aligned}$$

$$\begin{aligned} S(GT_-) - S(GT_+) &= 3 \langle i | \sum_k [t_{+,k} t_{-,k} - t_{-,k} t_{+,k}] | i \rangle \\ &= 3(N - Z) \end{aligned}$$

**Note:**  $t_+ |p\rangle = |n\rangle$     $t_- |n\rangle = |p\rangle$

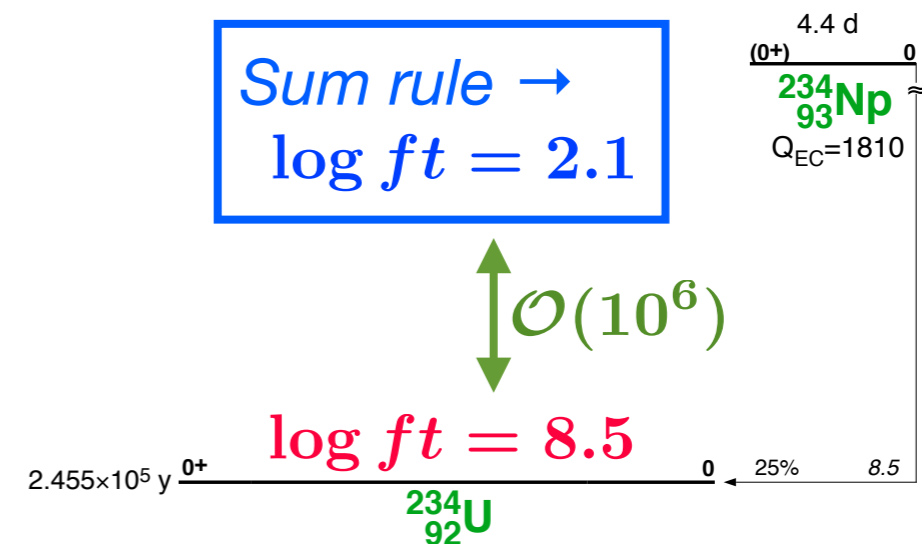
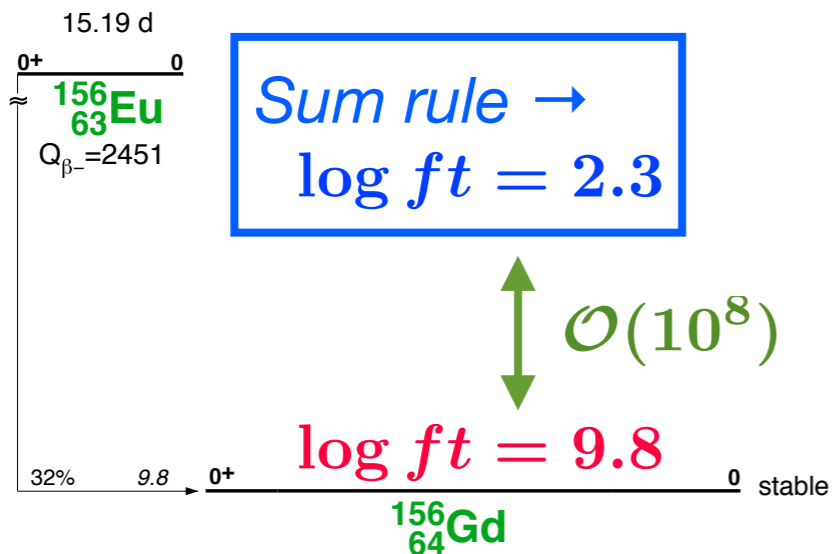
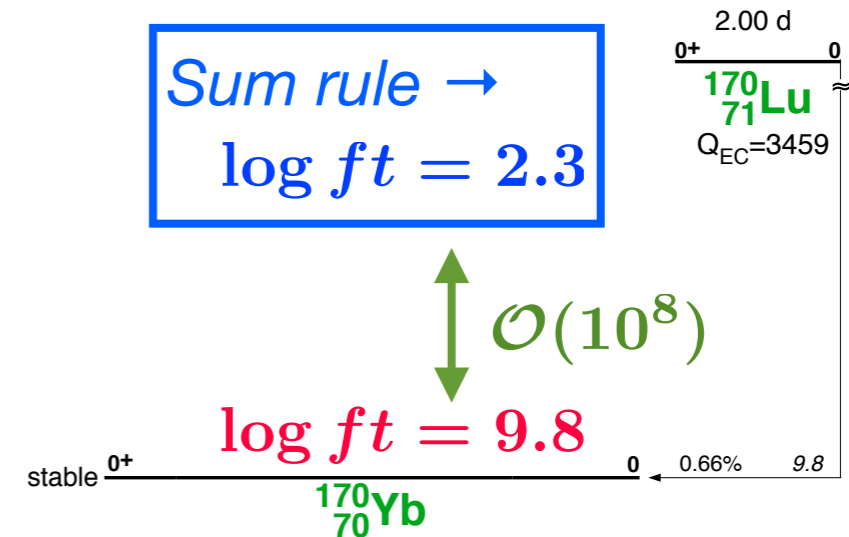
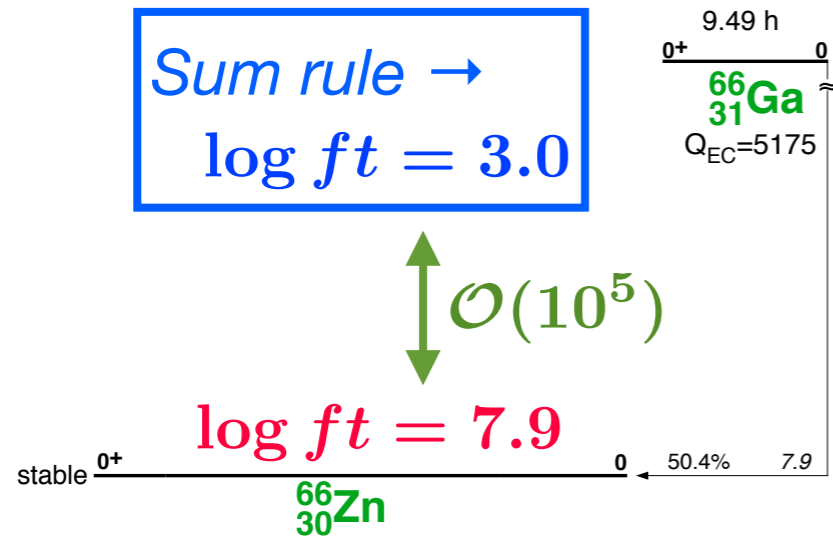
**Exercise:** Derive these sum-rules referring Appendix D of this lecture.

# Delay/quenching of Fermi transitions

If the Fermi transition strengths are concentrated to a transition:

- Its  $\log(ft)$  value should be  $\log[(6147 \text{ s})/(N-Z)]$  from the sum rule.

$$B(F) = (N - Z) = \frac{6147 \text{ s}}{ft}$$

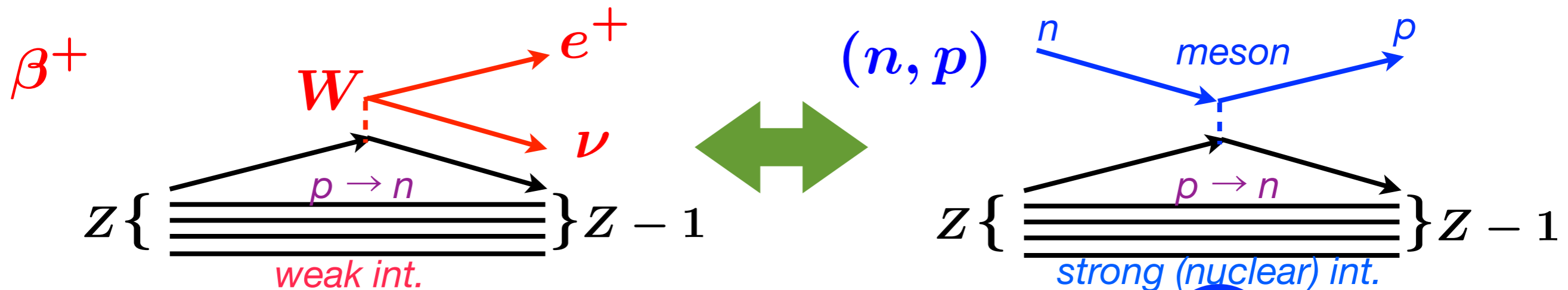
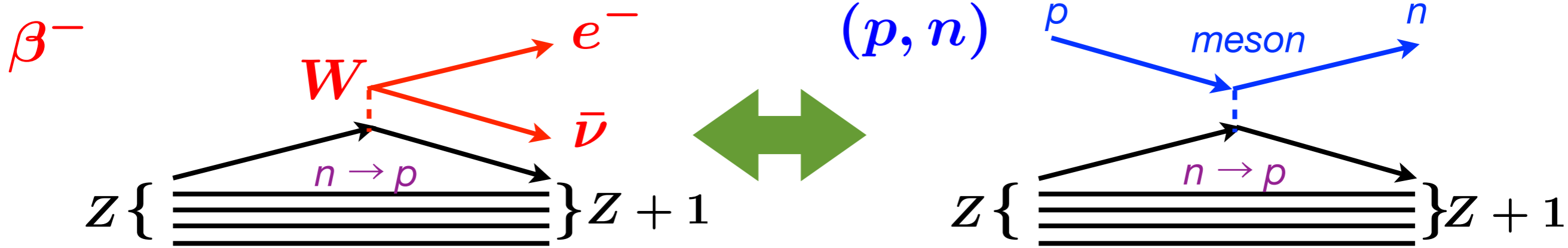


The Fermi transitions are hindered (delayed) by factors of the order of  $10^4$  to  $10^8$   
 $\rightarrow$  Missing strengths should exist beyond the beta-decay energy window.

# Beta decays and charge exchange reactions

Beta decays (weak int.)

charge-exchange (strong int.)



$$\sum g_A \sigma t_{\pm}$$

$$\sum g_V t_{\pm}$$

$p \leftrightarrow n$

Gamow-Teller  
( $\Delta S=1$ )

Fermi  
( $\Delta S=0$ )

$$\sum V_{\sigma\tau} \sigma t_{\pm}$$

$$\sum V_{\tau} t_{\pm}$$

$p \leftrightarrow n$

Charge exchange reactions  $\leftrightarrow$  Information on beta-decays (except for coupling const.)

Energy transfers by reactions  $\rightarrow$  can access the highly-excited states.

# Observation of IAS (Fermi resonance) by (p,n)

## Fermi strengths:

- Sum rule : summed to whole  $\omega$  region
- Beta decay : limited by Q value

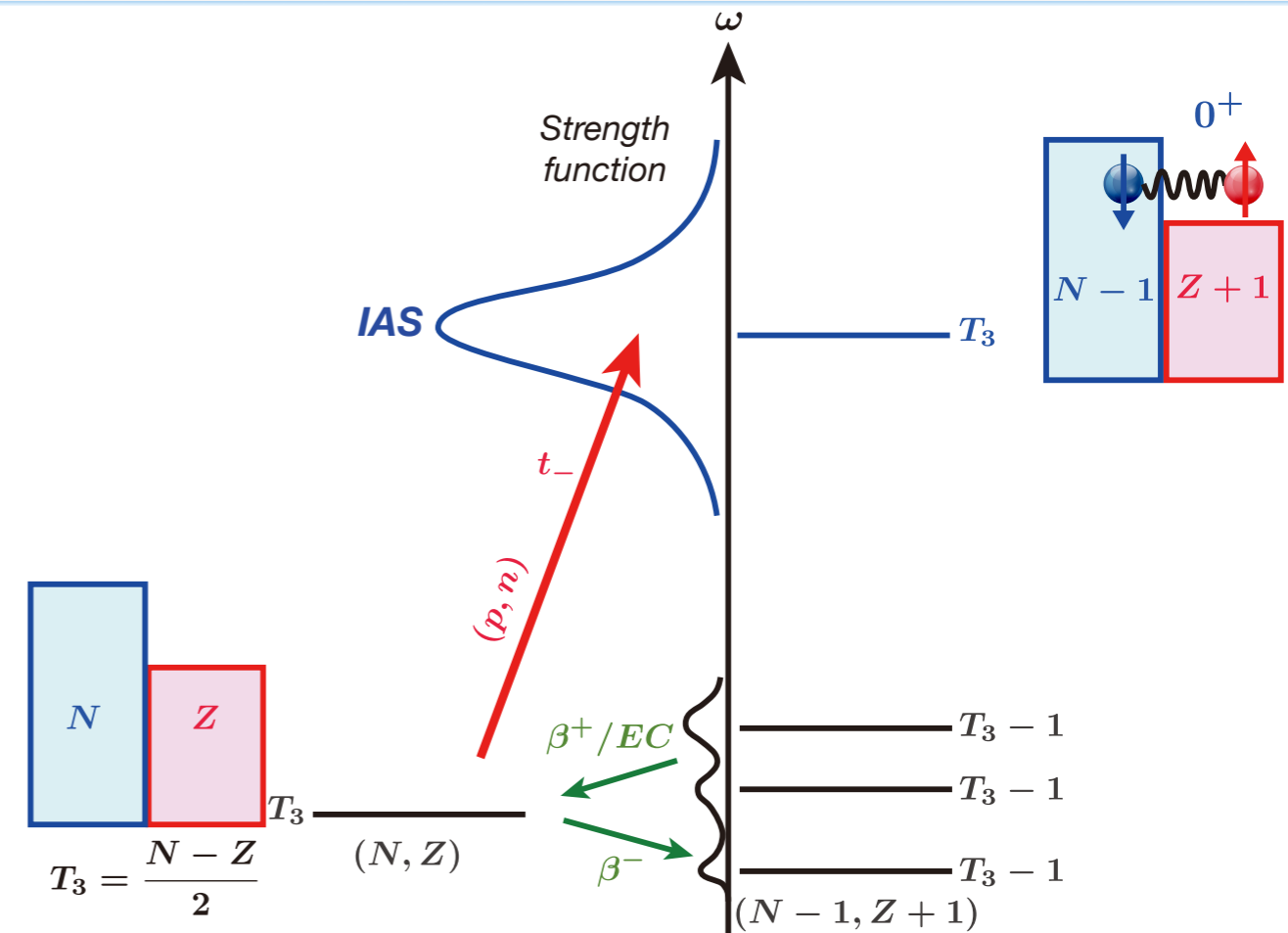
## (p,n) reaction

- can excite the  $0^+$  (Fermi) states by charge-exchange  $t_-$  operator.
- can populated the  $0^+$  states beyond the beta-decay energy window

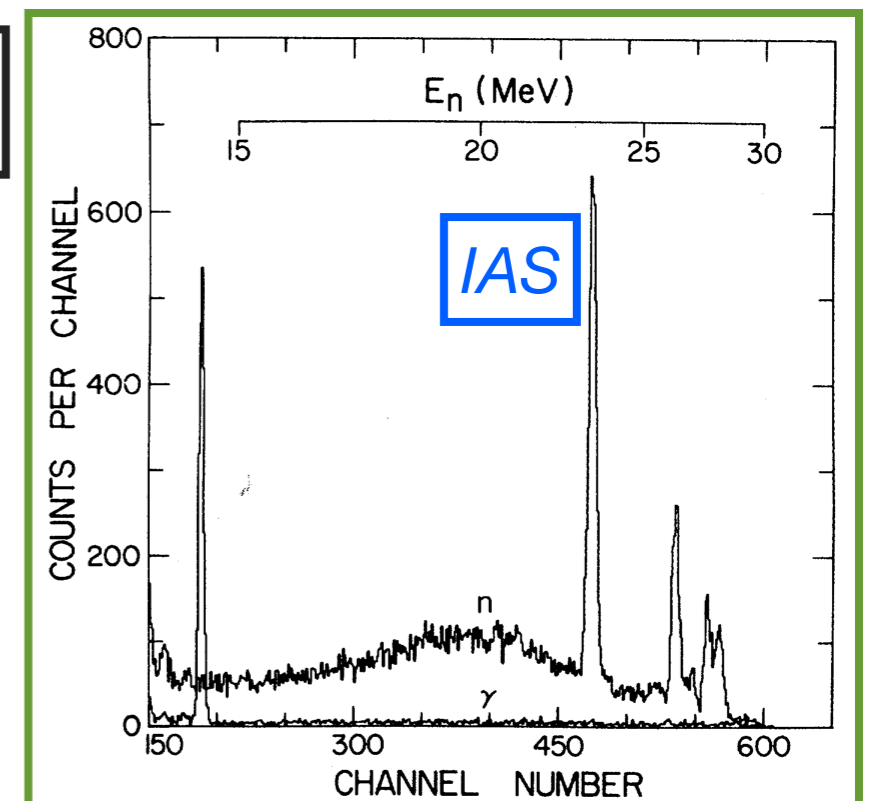
The IAS ( $0^+$ ) are clearly observed.

- Isospin is a good quantum number for  $N > Z$
- Almost all strengths are concentrated to the IAS with  $T_3$

*The IAS almost exhausts the sum-rule strength of (N-Z)*



$^{90}\text{Zr}(p,n)$   
at 35 MeV and  $0^\circ$



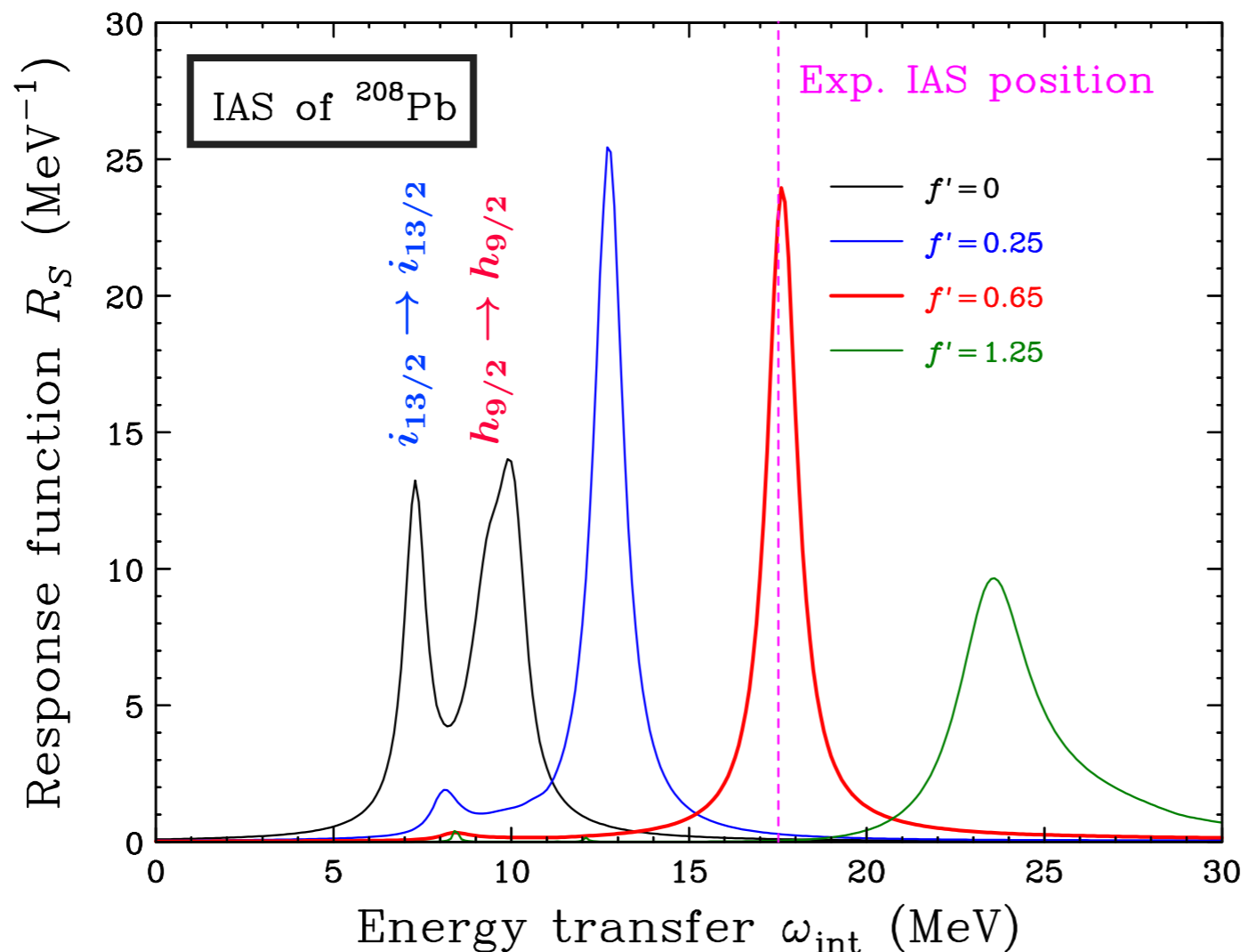
# Landau-Migdal parameter $f'$ and IAS

## Without residual interaction ( $f'=0$ )

- Many  $\Delta J^\pi=0^+$  1p-1h states  $\rightarrow$  *Strengths are fragmented and lower than exp. data*

## With residual interaction ( $f'>0$ )

- *Strengths concentrate to the high- $\omega$  state & The peak also shifts to higher  $\omega$*
- A relatively strong  $f'=0.65$  (repulsive) reproduces the exp. IAS position
- This  $f' \sim 0.65$  is roughly consistent with the value of  $f' \sim 0.75$  determined from GDRs

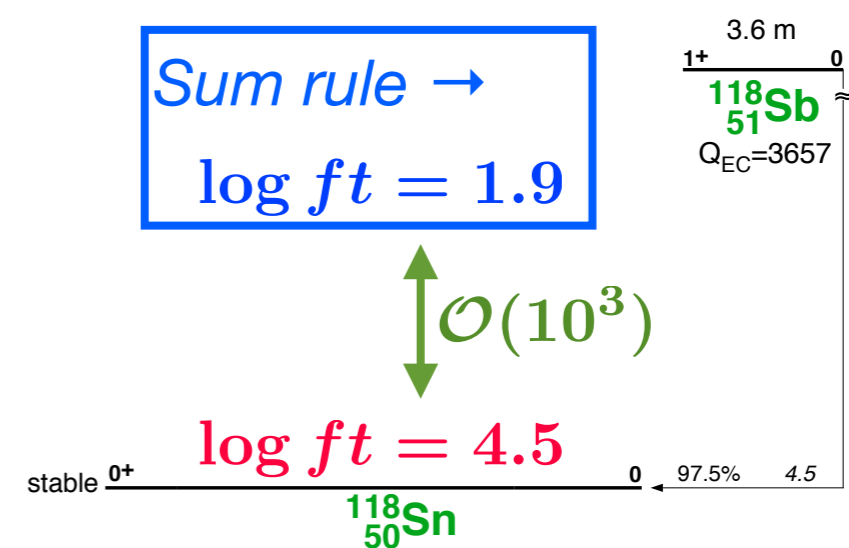
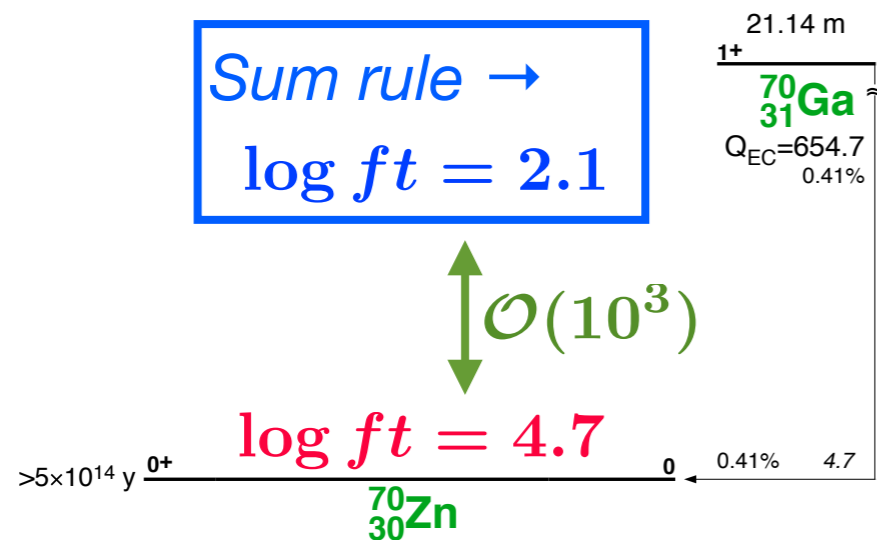
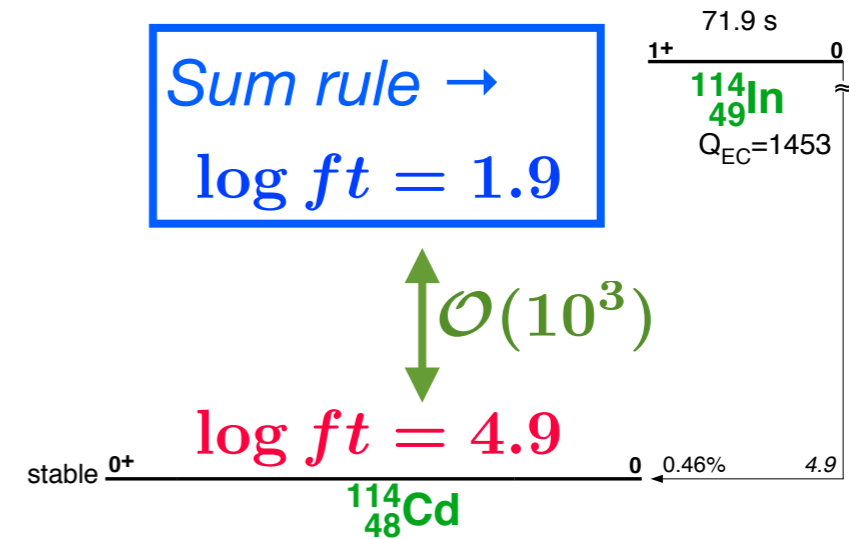
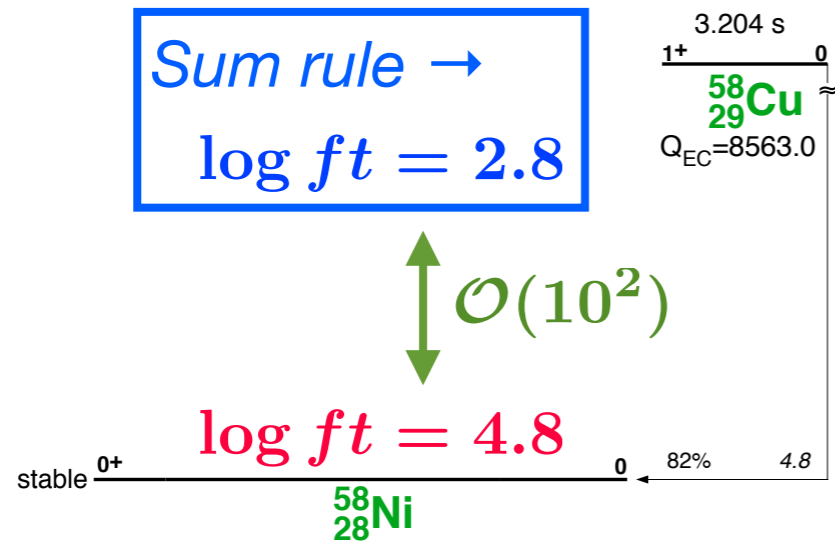


*Theoretical calculations  
were performed  
with the computer code respq  
by Ichimura-san.*

# Delay/quenching of GT transitions

If the GT transition strengths are concentrated to a transition:

- Its  $\log(ft)$  value should be  $\log \left[ \frac{K'}{(g_A/g_V)^2 B(GT)} \right] = \log \left[ \frac{(6147 \text{ s})}{(1.261)^2 3(N-Z)} \right]$  from the sum rule.



The GT transitions are hindered (delayed) by factors of the order of  $10^2$  to  $10^3$   
 $\rightarrow$  Missing strengths should exist beyond the beta-decay energy window.



# Observation of GTR by (p,n)

## GT strengths:

- Sum rule : summed to whole  $\omega$  region
- Beta decay : limited by Q value

## Quenching of B(GT) (delay of GT $\beta$ -decay)

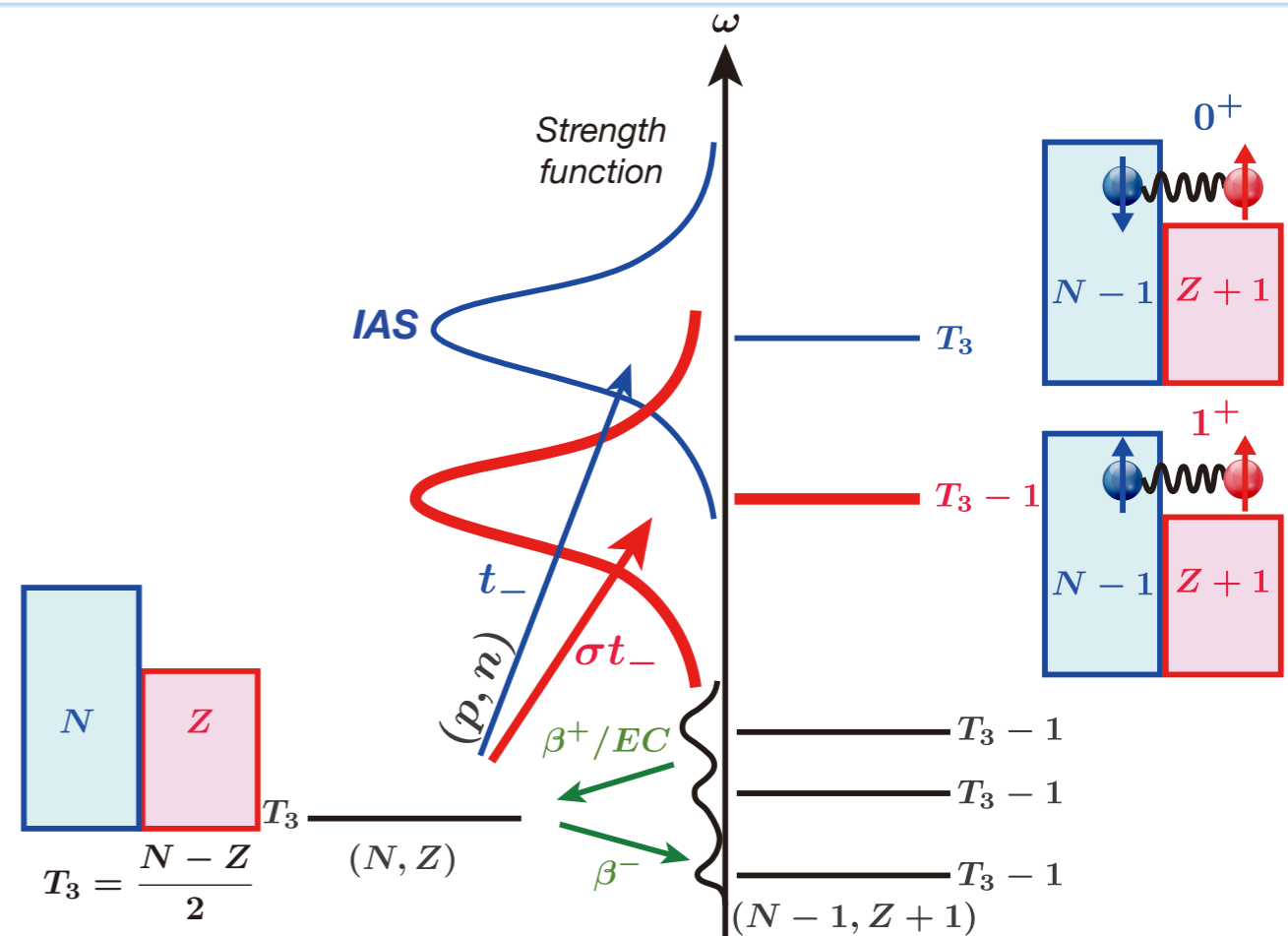
- suggests the GTR beyond the  $\beta$ -decay window

## (p,n) reaction

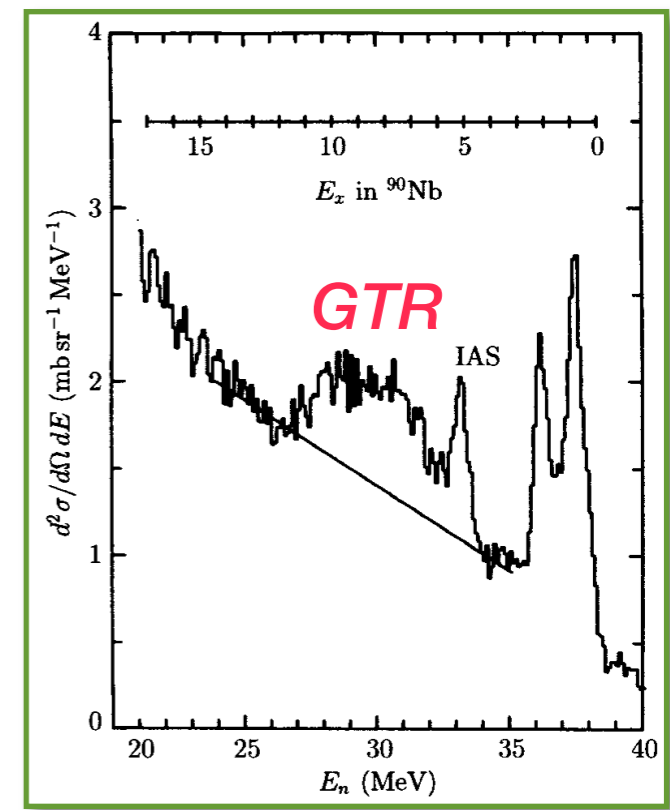
- can excite the  $1^+$  (GT) states by charge-exchange  $\sigma t_-$  operator.
- can populated the  $1^+$  states beyond the beta-decay energy window

The GTR ( $1^+$ ) are observed.

- *GTR takes a major part of the GT strength*
- Low-energy GT strength is quenched



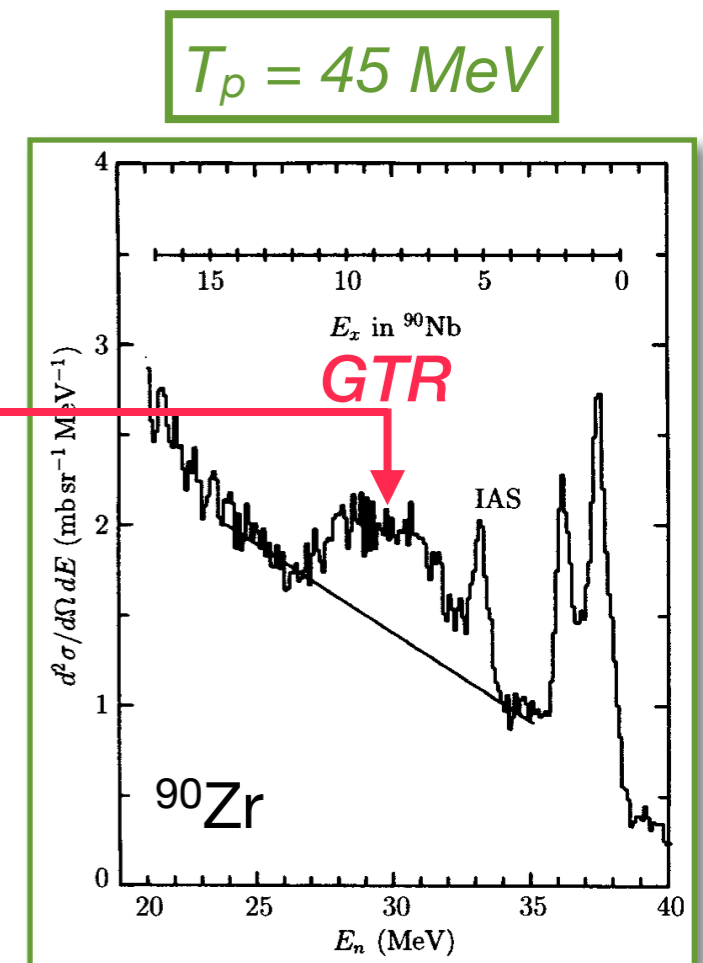
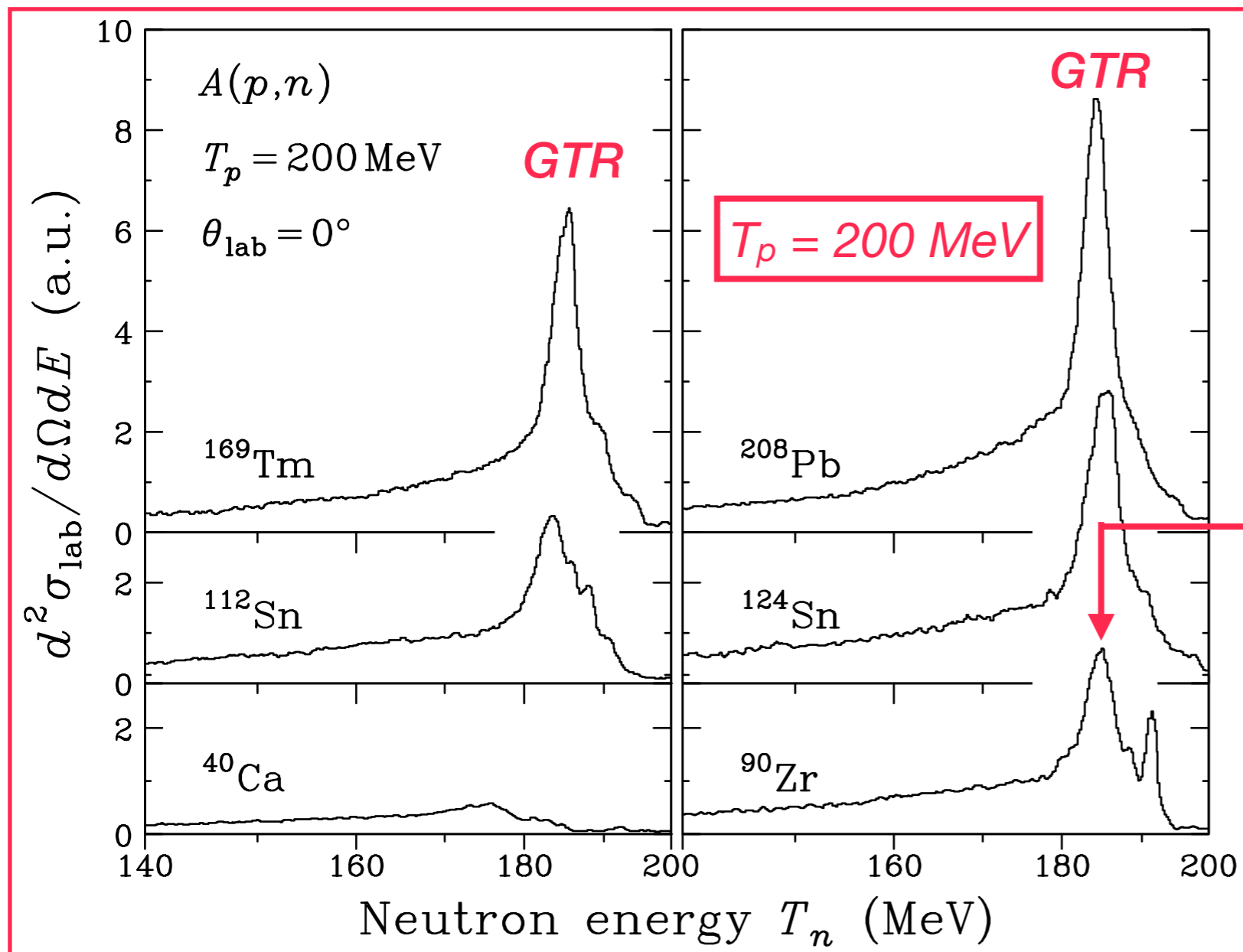
$^{90}\text{Zr}(p,n)$   
at 45 MeV and  $0^\circ$



# Systematic studies at IUCF

The GT resonances were observed for medium-heavy  $N > Z$  nuclei.

- With increasing neutron excess ( $N-Z$ ), the GTR becomes more pronounced.
- With increasing incident energy  $T_p$ , the GTR becomes more pronounced
- The IAS is only weakly excited.



# Missing GT strength

In the  $0^\circ$  (p,n) reaction:

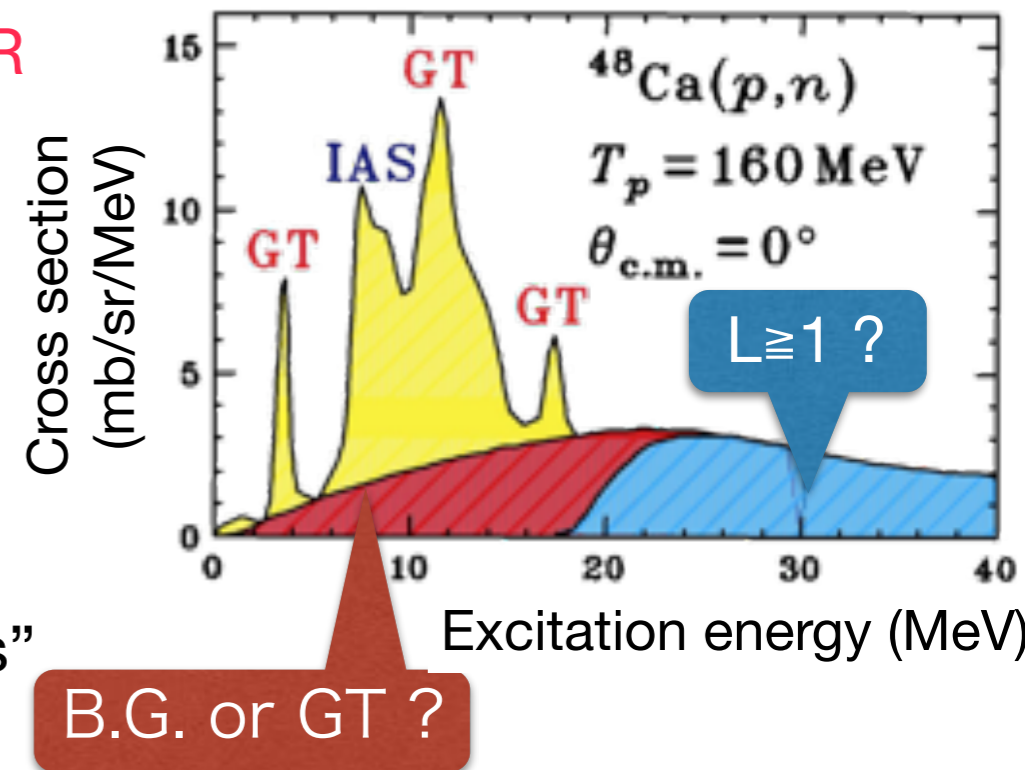
GT  $\Delta L=0$  strength is predominantly excited (GT resonances have been observed)

But the extraction of GT strength from  $\sigma(0^\circ)$  has some problems:

- The strength of  $L \geq 1$  would contribute beyond the GTR  
→  $E_x$  is limited up to GTR ( $E_x \sim 20$  MeV)
- The GTR bump is located on top of a continuum  
→ This continuum is B.G. or GT ?

*Minimum GT strengths* have been obtained by *subtracting the continuum as B.G.*

- Continuum contributions are treated as “uncertainties”



The summed total strength is compared with the sum rule (Ikeda sum rule)

- For  $N > Z$  nuclei,  $S_{\beta+} \simeq 0$  due to Pauli blocking
- $S_{\beta-}$  is compared to the sum rule value of  $3(N-Z)$

# Missing GT strengths

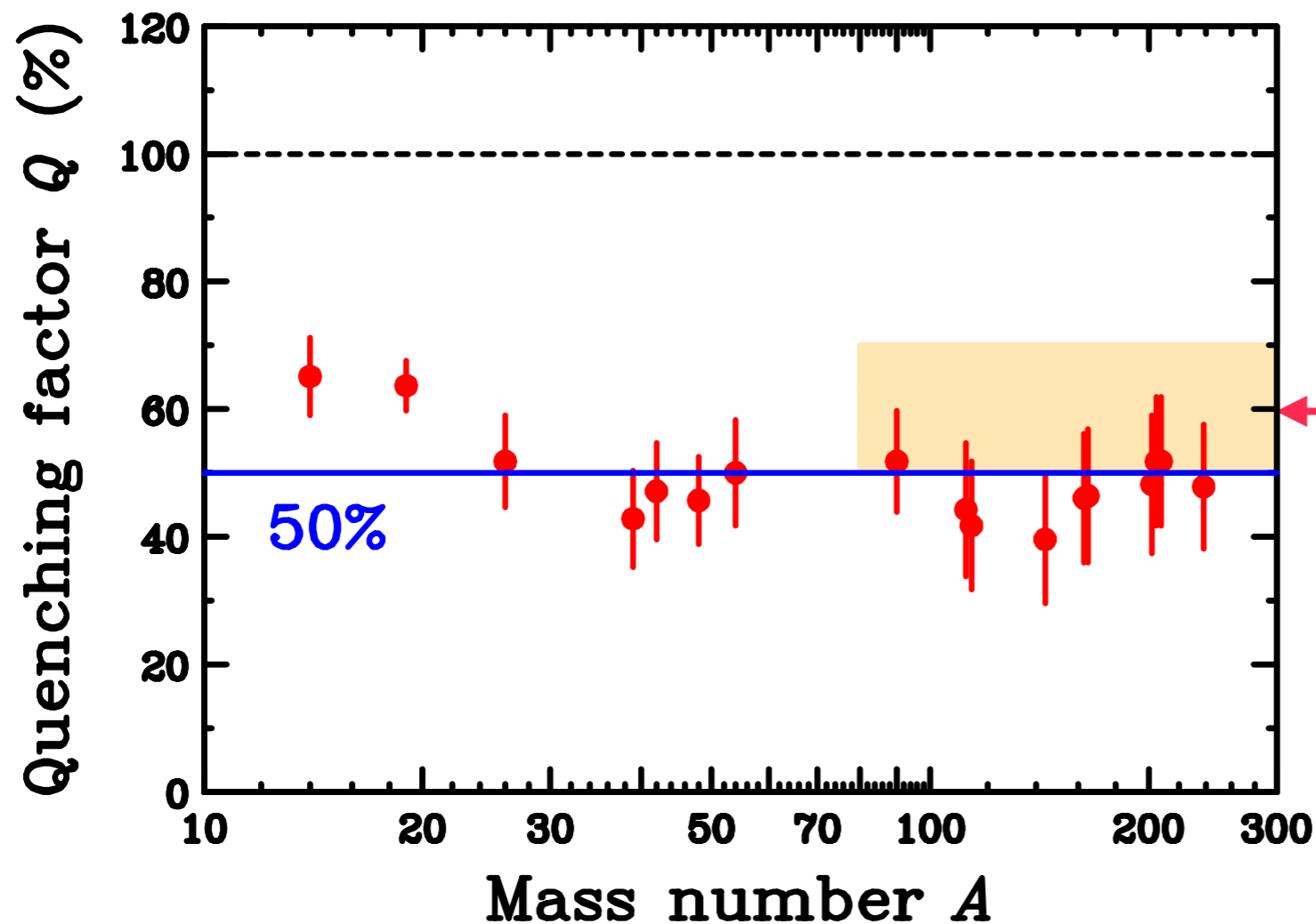
## Fraction of GT sum-rule strength observed in (p,n) up to 20 MeV

Only about 50-60% of the sum-rule value is found up to GTR ( $\leq 20$  MeV)

Uncertainties for  $^{90}\text{Zr}$

- $(52 \pm 9)\%$  [minimum]  $\sim (67_{-10}^{+7})\%$  [maximum]
- The maximum value  $\rightarrow$  The continuum under the GTR is also the GT contribution.

About 40% strength is missing in the GTR region.



*uncertainty for the continuum contribution below the GTR*

# Questions to be solved in the following lectures



**How can we identify the resonance as Fermi ( $\Delta S=0$ ) or Gamow-Teller ( $\Delta S=1$ ) ?**

- How to identify the resonance as GT  $1^+$  (not  $0^+$ ) ?

**What is the best energy for studying the GT strength by (p,n) ?**

- The IAS was found at 35 MeV whereas the GT was found at 45 MeV.



**How were the (p,n) data obtained ?**

- How was the neutron measured ?

**How is the (p,n) cross section converted to the GT strength ?**

- The relation between  $\sigma(0^\circ)$  and  $B(GT)$

**Is the continuum below the GTR really B.G. ?**

- The continuum should be subtracted? or added ?

**Is there any GT strength beyond the GTR ( $E_x \geq 20$  MeV) ?**

- How to identify the GT  $L=0$  strength in the continuum ?

# Homework #1

1. Determine the reduced matrix element  $\left\langle \frac{1}{2} \parallel \sigma \parallel \frac{1}{2} \right\rangle$ .

2. The spherical components of a unit vector  $\vec{r}$  are defined as

$$r_{\pm 1} \equiv \mp \frac{1}{2}(x \pm iy); \quad r_0 \equiv z$$

Express the spherical harmonics  $Y_1^m$  ( $m = \pm 1, 0$ ) using  $r_{\pm 1}$  and  $r_0$ .

3. In the  $\gamma$ -absorption measurement, the total absorption cross section is obtained by measuring all possible partial cross sections as

$$\sigma(\gamma) = \sigma(\gamma, \gamma') + \sigma(\gamma, p) + \sigma(\gamma, n) + \dots$$

In practice, the total cross sections for heavy nuclei ( $A \geq 90$ ) are approximately obtained as

$$\sigma(\gamma) \simeq \sum_x \sigma(\gamma, xn)$$

Explain the validity of this approximation referring the Appendix A of this lecture.

# Appendix A

---

$\gamma$ -absorption cross section

# $\gamma$ absorption

## $\gamma$ -absorption

A selective tool for excitation of GDR ( $\Delta L=1, \Delta T=1$ )

In order to distinguish nuclear from atomic processes, total absorption cross section is obtained by measuring all possible partial cross sections:

$$\sigma(\gamma) = \sigma(\gamma, \gamma') + \sigma(\gamma, p) + \sigma(\gamma, n) + \dots$$

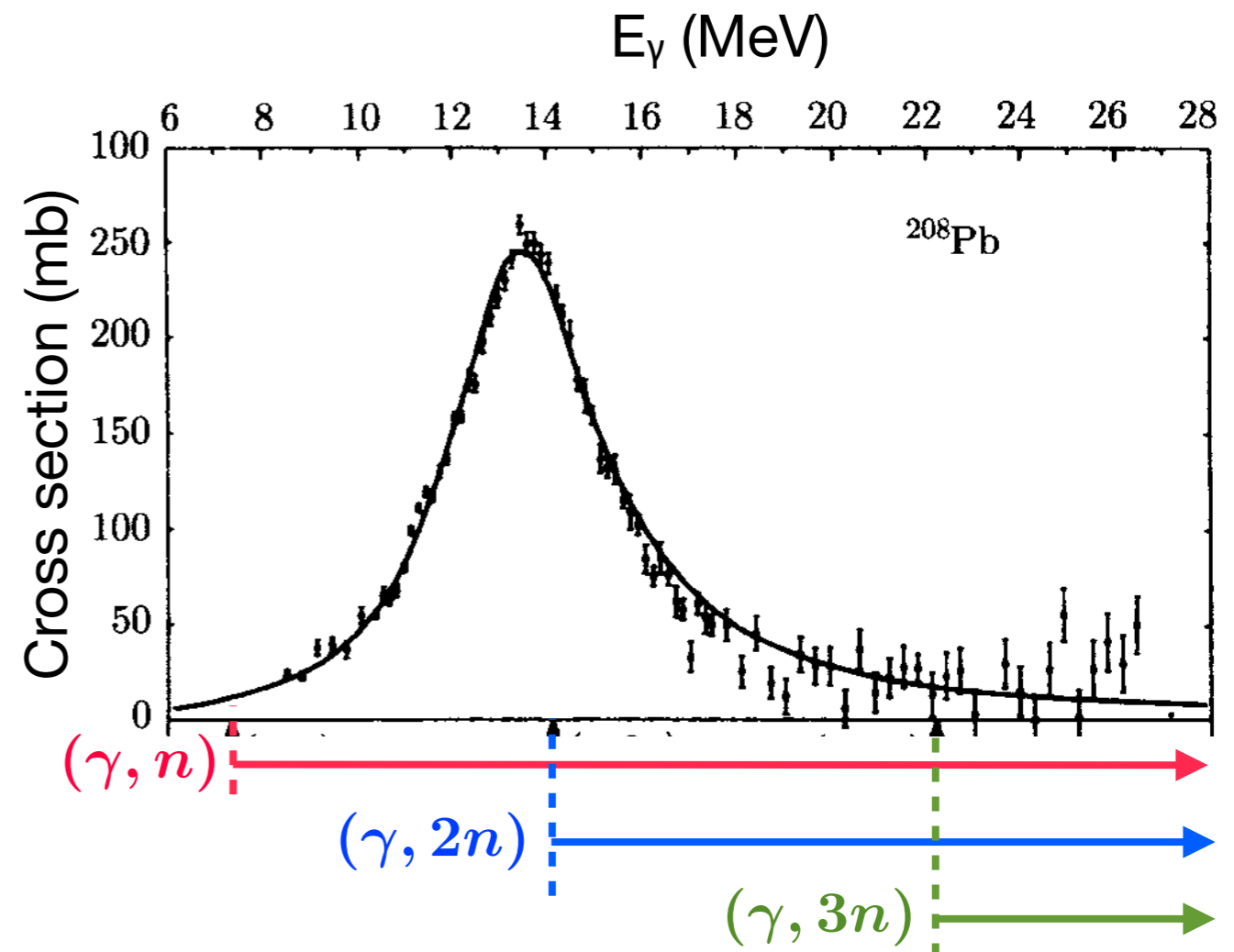
In almost all nuclei,  $E_x(\text{GDR}) >$  particle decay threshold

- $\sigma(\gamma, \gamma')$  is low.

In heavy nuclei ( $A \geq 90$ ), proton-emission is hindered by the coulomb barrier.

- $\sigma(\gamma, p)$  is small

$$\rightarrow \sigma(\gamma) \simeq \sum_x \sigma(\gamma, xn)$$





# Appendix B

---

TRK sum rule for GDR

# GDR sum rule

$$\hat{O}(\mathbf{E1}) = -\sqrt{\frac{3}{4\pi}} \sum_{i=1}^A t_3(i) z_i$$
$$S_1(\mathbf{E1}) = \frac{1}{2} \langle 0 | [\hat{O}(\mathbf{E1}), [\hat{H}, \hat{O}(\mathbf{E1})]] | 0 \rangle$$

Since  $\hat{O}(\mathbf{E1}) \propto z_i$ , let's consider

$$\langle 0 | [z, [\hat{H}, z]] | 0 \rangle \quad (\text{Here we omit "i" for simplicity})$$

In  $\hat{H} = T + V$ , the potential  $V$  is a function of  $\vec{r} = (x, y, z)$ . Thus

$$[V, z] = 0$$

Therefore, it is sufficient to consider:

$$\langle 0 | [z, [T, z]] | 0 \rangle$$

# GDR sum rule

Since  $T = \vec{p}^2 / 2M$ , we find:

$$\begin{aligned}
 \langle 0 | [z, [\hat{H}, z]] | 0 \rangle &= \langle 0 | [z, [\vec{p}^2 / 2M, z]] | 0 \rangle \\
 &= \frac{1}{2M} \langle 0 | [z, [p_z^2, z]] | 0 \rangle \\
 &= \frac{1}{2M} \langle 0 | [z, p_z [p_z, z] + [p_z, z] p_z] | 0 \rangle = \frac{1}{2M} \langle 0 | [z, 2p_z \frac{\hbar}{i}] | 0 \rangle \\
 &= \frac{1}{2M} \frac{2\hbar}{i} \langle 0 | [z, p_z] | 0 \rangle = \frac{\hbar^2}{M}
 \end{aligned}$$

Then, for the E1 operator:

$$\hat{O}(\text{E1}) = -\sqrt{\frac{3}{4\pi}} \sum_{i=1}^A t_3(i) z_i$$

the 1st moment (sum-rule) becomes:

$$S_1(\text{E1}) = \frac{1}{2} \langle 0 | [\hat{O}(\text{E1}), [\hat{H}, \hat{O}(\text{E1})]] | 0 \rangle$$

$$= \frac{1}{2} \frac{3}{4\pi} \frac{1}{4} A \langle 0 | [z, [\hat{H}, z]] | 0 \rangle = \frac{3A\hbar^2}{32\pi M}$$

isospin
sum for  $i$  ( $1 \sim A$ )

Thomas-Reich-Kuhn (TRK)  
 sum rule

# Appendix C

---

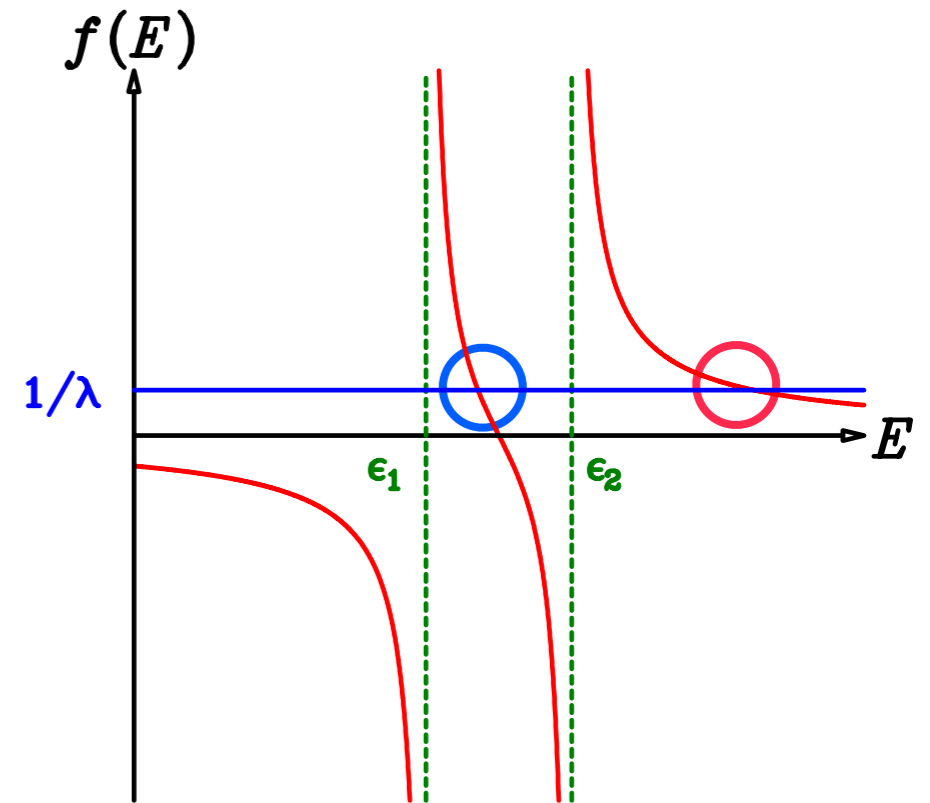
Graphical solution of the 2-state model

# Graphical solution

Equation of eigenvalue problem

$$\frac{1}{\lambda} = \frac{X_1^2}{E - \varepsilon_1} + \frac{X_2^2}{E - \varepsilon_2} = \frac{X_1 X_2}{\varepsilon_2 - \varepsilon_1} \left( \frac{c_2}{c_1} - \frac{c_1}{c_2} \right)$$

$\equiv f(E)$ 
 $\equiv g(c_2/c_1)$

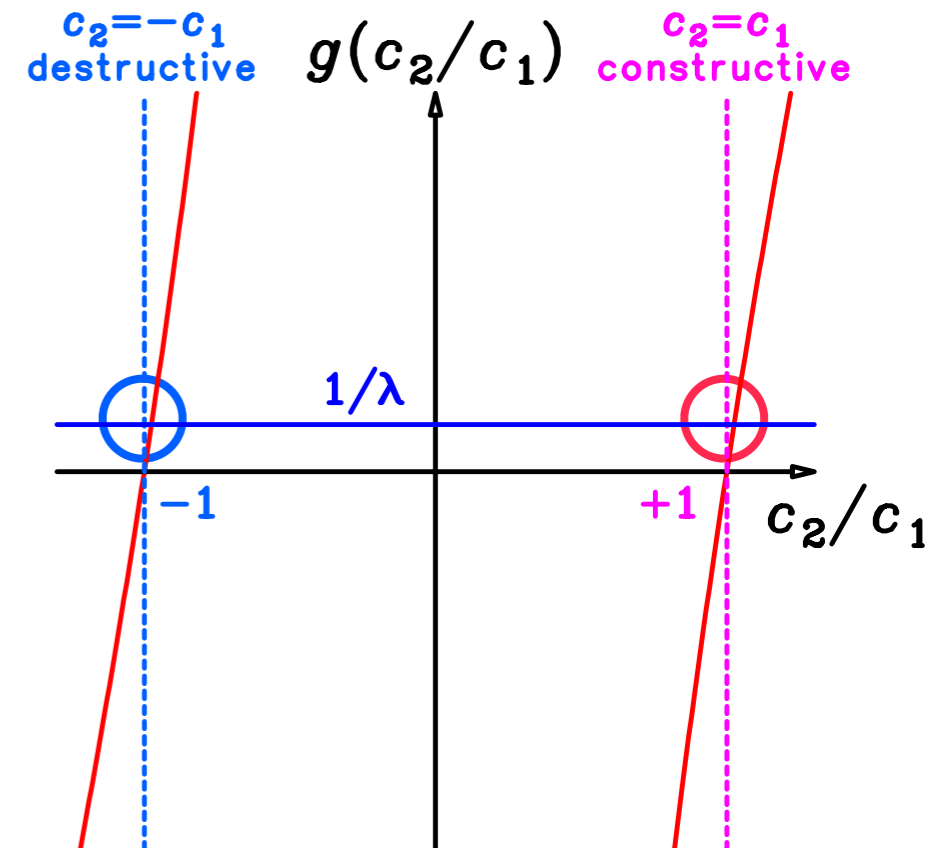


Energy:  $E$

- Intersection of  $f(E)$  and  $1/\lambda$
- One state has a significantly high energy

Matrix element:  $c_2/c_1$

- Intersection of  $g(c_2/c_1)$  and  $1/\lambda$
- One state has a constructive feature  $\rightarrow$  GR
- Other state has a destructive feature  $\rightarrow$  weak



# Appendix D

---

Fermi and Gamow-Teller sum rules

# Fermi operator and sum rule

Fermi  $\beta^\pm$  operator exciting the Fermi state is

$$F_\pm = \sum_k t_{\pm,k}$$

Total  $F_\pm$  strength,  $S(F_\pm)$ , is given by

$$S(F_\pm) = \sum_f |\langle f | F_\pm | i \rangle|^2$$

$$= \langle i | F_\pm^\dagger F_\pm | i \rangle \quad (\text{completeness of } \sum_f |f\rangle\langle f|)$$

*Nuclear Physics Convention*

$$t_3 |n\rangle = \frac{1}{2} |n\rangle \quad t_3 |p\rangle = -\frac{1}{2} |p\rangle$$

$$\bullet t_- |n\rangle = |p\rangle$$

$$\bullet t_+ |p\rangle = |n\rangle$$

$$\bullet t_- |p\rangle = t_+ |n\rangle = 0$$

Separate sums,  $S(F_+)$  and  $S(F_-)$ , are model dependent (shell-model, RPA, etc.).

But the difference is model independent  $\rightarrow$  Only a function the neutron excess (N-Z).

$$S(F_-) - S(F_+) = \langle i | \sum_k [t_{+,k} t_{-,k} - t_{-,k} t_{+,k}] | i \rangle \quad (\because t_{\pm,k}^\dagger = t_{\mp,k})$$

$$= (N - Z) \begin{cases} \text{model-independent} \\ \text{only a function of (N-Z)} \end{cases}$$

# Total GT<sup>±</sup> strengths

Total GT<sup>±</sup> strength,  $S(\text{GT}_{\pm})$ , is given by

$$\begin{aligned} S(\text{GT}_{\pm}) &= \sum_{f,\mu} |\langle f | \text{GT}_{\pm}(\mu) | i \rangle|^2 \\ &= \sum_{f,\mu} \langle f | \text{GT}_{\pm}(\mu) | i \rangle^* \langle f | \text{GT}_{\pm}(\mu) | i \rangle \\ &= \sum_{f,\mu} \langle i | \text{GT}_{\pm}^{\dagger}(\mu) | f \rangle \langle f | \text{GT}_{\pm}(\mu) | i \rangle \\ &= \sum_{\mu} \langle i | \text{GT}_{\pm}^{\dagger}(\mu) \text{GT}_{\pm}(\mu) | i \rangle \quad (\text{completeness of } \sum_f |f\rangle\langle f|) \end{aligned}$$

- $|i\rangle, |f\rangle$  : initial and final states
- $f$  : runs over all GT<sup>±</sup> states

In general,  $S(\text{GT}_{\pm})$  is model-dependent (shell-model, RPA, etc).



# GT sum rule

Separate sums,  $S(\text{GT}_+)$  and  $S(\text{GT}_-)$ , are model dependent

But the difference is model independent  $\rightarrow$  Only a function the neutron excess (N-Z)

$$S(\text{GT}_-) - S(\text{GT}_+)$$

$$= \sum_{\mu} \langle i | [\text{GT}_-^{\dagger}(\mu) \text{GT}_-(\mu) - \text{GT}_+^{\dagger}(\mu) \text{GT}_+(\mu)] | i \rangle$$

$$= \langle i | \sum_k \sum_{\mu} [t_{+,k} \sigma_{\mu,k}^{\dagger} t_{-,k} \sigma_{\mu,k} - t_{-,k} \sigma_{\mu,k}^{\dagger} t_{+,k} \sigma_{\mu,k}] | i \rangle \quad (\because t_{\pm,k}^{\dagger} = t_{\mp,k})$$

$$= \langle i | \sum_k [\sigma_k^2 t_{+,k} t_{-,k} - \sigma_k^2 t_{-,k} t_{+,k}] | i \rangle \quad (\sigma^2 \equiv \sum_{\mu} \sigma_{\mu}^{\dagger} \sigma_{\mu})$$

$$= 3 \langle i | \sum_k [t_{+,k} t_{-,k} - t_{-,k} t_{+,k}] | i \rangle \quad (\because \sigma^2 = 3)$$

For the isospin-ladder operators:

$$t_{+,k} t_{-,k} |n\rangle = |n\rangle \quad t_{-,k} t_{+,k} |p\rangle = |p\rangle \quad t_{-,k} t_{+,k} |n\rangle = t_{+,k} t_{-,k} |p\rangle = 0$$

$$\rightarrow S(\text{GT}_-) - S(\text{GT}_+) = 3(N - Z) \begin{cases} \text{model-independent} \\ \text{only a function of (N-Z)} \end{cases}$$

- Assumption: Nucleons are structureless, point-like particles.